



Republic of the Philippines
Department of Environment and Natural Resources
Visayas Avenue, Diliman, Quezon City
Tel. Nos. 929-6626 to 29; 929-6633 to 35
929-7041 to 43; 929-6252; 926-1669
Website: <http://www.denr.gov.ph> / E-mail: web@denrgov.ph

MEMORANDUM

TO : The Regional Executive Directors
Regions I to XIII and CAR

ATTENTION : The Regional Convergence Initiative-Technical Working Group
DA-DAR-DENR-DILG NCI-SRD

FROM : The Undersecretary
Policy, Planning and International Affairs

SUBJECT : **REQUEST FOR REVIEW ON THE FINAL REVISED
DRAFT OF NCI-SRD THEORY OF CHANGE (ToC)
MODEL AND RESULTS INDICATORS**

DATE : NOV 16 2021


We are referring the attached letter dated November 11, 2021 from Undersecretary Waldo R. Carpio, Department of Agriculture, Permanent Alternate Representative, National Steering Committee, DA-DAR-DENR-DILG NCI-SRD, requesting for the final review in the herein final revised draft of Results Based Monitoring and Evaluation (RBME) Theory of Change (ToC) Model and Results Indicators.

In this regard, please submit your comment/input to RCI-TWG Chairperson for the consolidation. Relevant documents pertaining to the above-subject may be accessed through <https://tinyurl.com/NCIRBMEfiles>. Deadline for submission of inputs is on **Friday, 26 November 2021**.

For queries and clarifications, please coordinate with Mr. Justine Devela, NCI-SRD Secretariat at telephone number 8929-5683/89294927 or via email at ncisrd@da.gov.ph.

For your appropriate and immediate action.


ATTY. JONAS R. LEONES

	Republic of the Philippines DA - DAR - DENR - DILG National Convergence Initiative for Sustainable Rural Development (NCI-SRD)	Reference Code:	NCI-SRD_FR_032_2
		Control No.:	
	LETTER	Effective date:	October 15, 2020
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November 11, 2021

ADVANCE COPY

ATTY. JONAS R. LEONES, CESO I
 Undersecretary for Priority Programs
 Department of Environment and Natural Resources
 Visayas Avenue, Quezon City

Dear Undersecretary Leones:

This has reference to the NCI-SRD Resolution No. 07, series of 2020, Approving the NCI-SRD Strategy Framework as Basis for the Establishment of the NCI-SRD Results Based Monitoring and Evaluation (RBME) System.

As a backgrounder, the Knowledge Management Component Working Group (KM CWG) during their 5th meeting on September 29, 2021 came up with a final draft of the RBME ToC Model and Results Indicator. The KM CWG agreed to present it to the Regional Convergence Initiative Technical Working Groups (RCI TWGs) before endorsing it to the National Technical Working Group on its Special Meeting on December 2, 2021. Attached is the final revised draft of the RBME ToC Model and results indicator as discussed during the RBME Consultative Workshop with the RCI TWGs on November 10, 2021.


In this regard, ***may we request the final review and inputs of the RCI TWGs (DA-DAR-DENR-DILG) on the final revised draft ToC Model and Results Indicators?*** Relevant documents may be accessed through <https://tinyurl.com/NCIRBMEfiles>. Kindly coordinate with the RCI TWG Chairperson for the consolidation of inputs. We will appreciate ***receiving your consolidated inputs on or before 26 November, 2021, Friday.***

For further clarifications and other concerns, your staff may contact Mr. Justine Devela of the NCI-SRD Secretariat at tel. nos. (02) 8929-5683 / (02) 8929-4927 or email us at ncisrd@da.gov.ph.

Thank you for your continued support to the NCI-SRD.

Truly yours,

WALDO R. CARPIO
 Undersecretary for Special Concerns *and*
 Permanent Alternate Representative,
 National Steering Committee
 DA-DAR-DENR-DILG NCI-SRD


	Republic of the Philippines DA – DAR – DENR – DILG National Convergence Initiative for Sustainable Rural Development (NCI-SRD)	Reference Code:	NCI-SRD_FR_035_1
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Important notes:

1. The ToC Model and its indicators shall be used as an umbrella framework (national level) for the creation of sub-indicators (to be instructed at a later date) in the respective convergence areas.
2. Thus, the proposed indicators shall be generic and encompasses the DA-DAR-DENR-DILG interventions as a whole.

NCI-SRD Theory of Change (ToC) Model


Input	Activities	Outputs	Results		
			Short-Term	Mid-Term	Long-Term
Land Policies	Develop NCI-SRD manuals	NCI-SRD manuals developed	Improved convergence implementation	Enhanced institutional efficiencies	Improved governance
Human Resources	Develop national capacity development plan for the convergence strategy stakeholders (for implementing agencies)	National capacity development plan for the convergence strategy stakeholders (for implementing agencies) developed			
Technical Expertise	Develop Knowledge Management System database for the national, regional, and provincial convergence strategies	Knowledge Management System database for the national, regional, and provincial convergence strategies developed	Harmonized policies of the 4 NCI-SRD agencies	Expanded opportunities for AFF-enterprise development	Optimized use of resources
Funds					
Infrastructures				Improved spatial integration	
Machineries and equipment					
Materials and supplies					
Mechanism/ Methods/ Strategy					
Time					
Technology	Develop NCI-SRD policies and legislations	NCI-SRD policies and legislations developed			
	Develop national capacity development plan	National capacity development plan for the convergence			

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Input	Activities	Outputs	Results		
			Short-Term	Mid-Term	Long-Term
	for the convergence strategy stakeholders (for AFF-enterprise cluster)	strategy stakeholders (for AFF-enterprise cluster) developed			
	Develop AFF-enterprise cluster and business development using the VCA and consistent with PCIPs	AFF-enterprise cluster and business development using the VCA and consistent with PCIPs developed			
	Establish convergence area	Convergence areas established			

Short-term Results Indicator


Result Statements	Indicators
Improved convergence implementation	% increase in stakeholders (e.g. partner agencies, donors/CSOs) giving a very satisfactory rating on the programs, activities and projects (PAPs) implemented by the agencies
	# of beneficiaries (e.g. smallholder farmers, fisherfolk, upland dwellers, IPs) giving a very satisfactory rating in the convergence strategies implemented
	% increase in the partnerships established for the implementation of convergence strategies
	% increase of fund allocation and other resources from stakeholders
Harmonized policies of the 4 NCI-SRD agencies	# of joint policies issued and adopted

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	# of joint resolutions issued and adopted
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Mid-term Results Indicator


Result Statements	Indicators
Enhanced institutional efficiencies	# of joint policies adopted by stakeholders (e.g. partner agencies, donors/CSOs, beneficiaries)
	% increase in the funded projects/investments in the convergence areas
	% increase in projects implemented based on time frames
	% increase in stakeholders (e.g. partner agencies, donors/CSOs, beneficiaries) with increased competencies based on the learning level evaluations
	% increase in stakeholders (e.g. partner agencies, donors/CSOs, beneficiaries) with increased access to information
	% decrease in turn-around time for the drafting, approval and implementation of the CADP in the convergence areas
Expanded opportunities for AFF development	% increase of private sector investments in convergence areas improved
	% increase in number of AFF enterprises and business activities in the convergence area
	% increase in the volume of investments for AFF enterprises in the convergence areas
	# of beneficiaries transformed as AFF entrepreneurs

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Result Statements	Indicators
Improved spatial integration	# of beneficiaries with improved market linkage
	% increase in convergence areas with well-aligned CADPs
	% increase in the convergence areas with improved resources management (land, water, and vulnerable areas)
	% increase in the convergence areas established
	% increase in the number of stakeholders (e.g. partner agencies, donors/CSOs, beneficiaries) engaged in the convergence areas

Long-term Results Indicator

Result Statements	Indicators
Improved governance	% increase in beneficiaries (e.g. smallholder farmers, fisherfolk, upland dwellers, IPs) giving a satisfactory rating on the services and interventions delivered in the convergence area
	% increase in the quality outputs (outcome of projects) of the convergence strategy in the convergence areas
Optimized use of resources	% reduction in the reverted funds of the agencies for the convergence strategy
	% reduction in the Audit Observation Memorandum (AOM) issued to the agencies regarding the convergence strategy PAPs
	# of recognitions received by the agencies on the use of resources
	% increase on the functional resiliency projects in the convergence areas

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Output Indicators

Output and Results Statements *	Indicators **
<i>Outputs (7)</i>	
NCI-SRD manuals developed	# of manuals developed # of manuals distributed
National capacity development plan for the convergence strategy stakeholders (for implementing agencies) developed	# of national capacity development plans developed # of stakeholders adapters # of stakeholders capacitated
Knowledge Management System database for the national, regional, and provincial convergence strategies developed	# of Knowledge Management System database developed and maintained
NCI-SRD policies and legislations developed	# of policies developed and approved # of legislations developed and approved # of policies and legislations adopted
National capacity development plan for the convergence strategy stakeholders (for AFF-enterprise cluster) developed	# of national capacity development plans developed # of stakeholders adapters # of beneficiaries capacitated
AFF-enterprise cluster and business development using the VCA and consistent with PCIPs developed	# of Site Working Groups (SWGs) # of enterprise clusters developed # of AFF systems established # of private sectors invested in convergence areas # of organizations engaged in business enterprise using VCA
Convergence areas established	# of convergence areas established # of convergence areas with CADP