

Republic of the Philippines
Department of Environment and Natural Resources
Visayas Avenue, Diliman, Quezon City
Tel. Nos. (02) 8920-0689 / 8925-8275 / 0917-885-3367 / 0917-868-3367
Website: <http://www.denr.gov.ph> / E-mail: web@denr.gov.ph

MEMORANDUM

FOR : All Bureau Directors

FROM : The OIC-Director, Policy and Planning Service

SUBJECT : **NATIONAL INNOVATION COUNCIL CALL FOR PROPOSALS FOR FY 2022 INNOVATION GRANTS**

DATE : 07 JUL 2022

This refers to the e-mail from the National Innovation Council (NIC) Secretariat dated 30 June 2022 with regard to the call for proposals for FY 2022 Innovation Grants. The NIC Secretariat received 52 proposals from various public entities and is still accepting proposals until 15 July 2022.

In view of the above, we are requesting for the submission of the proposals on or before 11 July 2022 to the psddivision@gmail.com for endorsement to the NIC Secretariat. The detailed information on the call for proposals can be accessed through <http://neda.gov.ph/FY2022InnovationGrants>. Attached are the pertinent documents for your perusal.

For your preferential action, please.


MELINDA C. CAPISTRANO



Update on Proposal Submissions for the FY 2022 Innovation Grants

NEDA National Innovation Council Secretariat <nicsecretariat@neda.gov.ph> Thu, Jun 30, 2022 at 4:44 PM
 To: "lorajalamin@gmail.com" <lorajalamin@gmail.com>, "carlo.marcaida@gmail.com" <carlo.marcaida@gmail.com>, Office of the Deputy Executive Secretary for General Administration <odesga@op-proper.gov.ph>, Liz Angela Intia <laaintia.op@gmail.com>, DOST OSEC <osec@dost.gov.ph>, "ftdelapena@dost.gov.ph" <ftdelapena@dost.gov.ph>, Lenie Lopez <lenie.lopez@gmail.com>, DOST OUSECRD <ousec.rd@dost.gov.ph>, Rowena Guevara <rowena.guevara@eee.upd.edu.ph>, Aileen Luching-Vergabera <a_luching12@yahoo.com>, elmer pandanan <epandanan@gmail.com>, Secretary <Secretary@dti.gov.ph>, "Usec. Rafaelita M. Aldaba" <RafaelitaAldaba@dti.gov.ph>, "Office of the Undersecretary, CIG" <oucig@dti.gov.ph>, "Lyra S. Tira" <lyratira@dti.gov.ph>, "w.dar38@yahoo.com" <w.dar38@yahoo.com>, DA OSEC <osec@da.gov.ph>, "l.sebastian@da.gov.ph" <l.sebastian@da.gov.ph>, OSEC COS <osec.cos@da.gov.ph>, Office of the Secretary <osec@dnr.gov.ph>, OUEIEA Secretariat <oueiea.dnr@gmail.com>, Jonas Leones <jonasleones@gmail.com>, Llarina Mojica <lsmojica@dnr.gov.ph>, Nehemiah Leo Carlo Salvador <nehemiahsalvador@gmail.com>, "OIC Dir. Melinda Capistrano" <melindacapistrano@yahoo.com>, Secretary Francisco Duque III <ftduque@doh.gov.ph>, "Usec Mario C. Villaverde" <officeofusecmcv@gmail.com>, "rgkaragdag@doh.gov.ph" <rgkaragdag@doh.gov.ph>, Maria Socorro Santos <mssantos@doh.gov.ph>, Joa Lim <jmlim@doh.gov.ph>, Michael Niel Angelo Bulatao <mnacbulatao@doh.gov.ph>, "jdelapaz@doh.gov.ph" <jdelapaz@doh.gov.ph>, Office of the Secretary Office of the Secretary <osec@dotr.gov.ph>, Ruben Reinoso <rs.reinoso@dotr.gov.ph>, Planning and Project Development Office <ppdo@dotr.gov.ph>, "comms@dotr.gov.ph" <comms@dotr.gov.ph>, Office of the Undersecretary for Administrative Service DOTr <ouas@dotr.gov.ph>, Annie Hazel Basada <annie.basada@dotr.gov.ph>, "osec301@gmail.com" <osec301@gmail.com>, "ousfm.dnd@gmail.com" <ousfm.dnd@gmail.com>, "David RL. Cruz" <drlcruz@dnd.gov.ph>, "chairperson@ched.gov.ph" <chairperson@ched.gov.ph>, CHED Chairperson Invitations <invitations@ched.gov.ph>, "cjaro@ched.gov.ph" <cjaro@ched.gov.ph>, Executive Director CHED <executivedirector@ched.gov.ph>, "abunag@ched.gov.ph" <abunag@ched.gov.ph>, TINA ROSE MARIE CANDA <tcanda@dbm.gov.ph>, "osec@dbm.gov.ph" <osec@dbm.gov.ph>, Kim Robert De Leon <kdeleon@dbm.gov.ph>, "Crismel Dara G. Tengson" <ctengson@dbm.gov.ph>, "Angelica Beatrice SM. Natividad" <anatividad@dbm.gov.ph>, "JENAH FLOR G. LAGDAMEO" <jlagdameo@dbm.gov.ph>, "rtoledo@dbm.gov.ph" <rtoledo@dbm.gov.ph>, Yolanda Reyes <yreyes@dbm.gov.ph>, Mary Joy de Leon <mdeleon@dbm.gov.ph>, Leonor Briones <leonor.briones@deped.gov.ph>, Office of the Secretary <osec@deped.gov.ph>, DIOSDADO SAN ANTONIO <diosdado.sanantonio@deped.gov.ph>, "Office of the Undersecretary for Curriculum and Instruction (OUCI)" <ouci@deped.gov.ph>, "oasci@deped.gov.ph" <oasci@deped.gov.ph>, Caleb Ricardo Pantoja <caleb.pantoja@deped.gov.ph>, Eduardo Año <emano@dilg.gov.ph>, "osec@dilg.gov.ph" <osec@dilg.gov.ph>, Jerry Loresco <jaloresco@dilg.gov.ph>, Marlo Iringan <mliringan@dilg.gov.ph>, DILG OUSLG <ouslg.dilg@gmail.com>, DFA-Office of the Secretary <osec@dfa.gov.ph>, "lourdes.yparraguirre@dfa.gov.ph" <lourdes.yparraguirre@dfa.gov.ph>, Office OUMAIER <oumaier@dfa.gov.ph>, GINA JAMORALIN <gina.jamoralin@dfa.gov.ph>, "enrik.revillas@dfa.gov.ph" <enrik.revillas@dfa.gov.ph>, OSEC <secshb3@dole.gov.ph>, "osec@dole.gov.ph" <osec@dole.gov.ph>, "esrd_ble@yahoo.com" <esrd_ble@yahoo.com>, Sarah Antonette De Ocampo <deocamposj@gmail.com>, "usecrl@doe.gov.ph" <usecrl@doe.gov.ph>, "ps@dole.gov.ph" <ps@dole.gov.ph>, "asecegac@dole.gov.ph" <asecegac@dole.gov.ph>, Grace Baldoza <gbaldoza.dole@gmail.com>, "Rowel S. Barba" <rowel.barba@ipophil.gov.ph>, "DDG Teodoro C. Pascua" <teodoro.pascua@ipophil.gov.ph>, Adrian Sablan <adrian.sablan@ipophil.gov.ph>, "mail@ipophil.gov.ph" <mail@ipophil.gov.ph>, "Raymond G. Pasiliao (IPOPHE)" <raymond.pasiliao@ipophil.gov.ph>, Abby Lirio <avegail.lirio@ipophil.gov.ph>, Paulina Jane Agulto <paulina.agulto@ipophil.gov.ph>, Nelson Laluces <nelson.laluces@ipophil.gov.ph>, "piacore@ipophil.gov.ph" <piacore@ipophil.gov.ph>, Jude Martin Joya <judemartin.joya@ipophil.gov.ph>, "OFFICIAL: Secretary Alfonso Cusi" <sec.alfonsocusi@gmail.com>, Secretary of Energy Appointments Desk <doeph.appt@gmail.com>, "doeosecph@gmail.com" <doeosecph@gmail.com>, Jesusito Sulit <jhsulit@gmail.com>, "Jesusito H. Sulit" <jhsulit@doe.gov.ph>, "rowena.villanueva@doe.gov.ph" <rowena.villanueva@doe.gov.ph>, "April Joy P. Sibal" <ajsibal@doe.gov.ph>, "Enrique M. Navarrete" <enavarrete@doe.gov.ph>, eric nava <ericnava88@yahoo.com>, "manny.caintic@dict.gov.ph" <manny.caintic@dict.gov.ph>, Paul Alcala <danielpaul.alcala@dict.gov.ph>, DICT Office of the Secretary <osec@dict.gov.ph>, OSEC Appointments <osec.appointments@dict.gov.ph>, "Maria Victoria C. Castro" <mariavictoria.castro@dict.gov.ph>, "Evaluation and Statistics Division (ESD)" <esd.npcmb@dict.gov.ph>, Ruth Montes <ruth.montes@dict.gov.ph>, Kathrina Cabana <kathrina.cabana@dict.gov.ph>, Office of the Undersecretary for Digital Philippines <oudp@dict.gov.ph>, Michael Stephen Gorospe <michael.gorospe@dict.gov.ph>
 Cc: "Karl Kendrick T. Chua" <ktchua@neda.gov.ph>, "Antonio Lorenzo Y. Tan" <aytan@neda.gov.ph>, NEDA Office of the Secretary <osec@neda.gov.ph>, "Rosemarie G. Edillon" <rgedillon@neda.gov.ph>, "Rowena M. Arellano" <rmarellano@neda.gov.ph>, "Brigida T. Brocal" <btbrocal@neda.gov.ph>, "Carlos Bernardo O. Abad Santos" <coabadsantos@neda.gov.ph>, "Sonia O. Alvis" <soalvis@neda.gov.ph>, "Diane Gail L. Maharjan" <dlmaharjan@neda.gov.ph>, &PPG-OASec - Innovation Staff - od <&INNOS-od@neda.gov.ph>, &PPG-OASec - Innovation Staff - spid <&INNOS-sp@neda.gov.ph>, &PPG-OASec - Innovation Staff - ifmd <&INNOS-ifmd@neda.gov.ph>, &PPG-OASec - Innovation Staff - icpd <&INNOS-icpd@neda.gov.ph>

Dear **members of the National Innovation Council**,

Greetings!

As instructed during the 3rd NIC Meeting, we are pleased to inform you that as of 3:00 PM today, 30 June 2022, the Secretariat has received **52 proposals** for the **FY 2022 Innovation Grants**, subject to further verification, screening, and evaluation pursuant to NEDA-DBM Joint Memorandum Circular No. 2022-01.

Below is a breakdown of the number of submissions from the following public entities:

- a. SUCs – 17
- b. LGUs – 14
- c. DOST – 13
- d. DepEd – 3
- e. DA – 2
- f. NEDA – 1
- g. DILG – 1
- h. DOH – 1

The NIC Secretariat is still accepting proposals until **15 July 2022**. Interested applicants may submit their proposals, duly endorsed by their respective Secretary or head of agency, to innovationfund@neda.gov.ph. For detailed information on the call for proposals, kindly visit the following webpage: <https://neda.gov.ph/FY2022InnovationGrants>.

For inquiries, you may reach the Innovation Fund Management Division by email at innovationfund@neda.gov.ph or contact number 8-631-0960 loc. 21615.

For your information. Thank you.

Best regards,

National Innovation Council Secretariat
Innovation Staff
National Economic and Development Authority



NEDA National Innovation Council Secretariat

. Philippines
Tel: | Fax:
<https://neda.gov.ph>

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**Republic of the Philippines
National Innovation Council**

**FY 2022 INNOVATION GRANTS
FORM 1: GRANT APPLICATION FORM**

1. General Information			
1.1 Department/Agency			
1.2 Implementing Entity (Agency Unit)			
1.3 Implementing Entity Address	Street Address		
	City	Region	Postal Code
1.4 Head of Implementing Entity	Name		Designation
1.5 Implementing Entity Contact Details	Official website	Email Address	Telephone Number
1.6 Project Leader	Name		Designation
	Email Address	Mobile Number	Telephone No.
1.7 Focal Person (Technical) (if different from Project Leader)	Name		Designation
	Email Address	Mobile Number	Telephone No.
1.8 Alternative Focal Person (Administrative)	Name		Designation
	Email Address	Mobile Number	Telephone No.
1.9 Partner Entities and Nature of Partnership Agreement (if applicable)			

2. Project Proposal	
2.1 Overview	
2.1.1 Program/Project Proposal Title	
2.1.2 Short Description of the Proposal	
2.1.3 Objective Statement	
2.1.4 Funding Requirement (in PHP)	
2.1.5 Implementation Period	
2.2. Rationale	
2.2.1 Significance of the Proposal	
2.2.2 Innovation Goals <i>(choose maximum of 2)</i>	<input type="checkbox"/> Proactive, Smart, and Innovative People <input type="checkbox"/> Competitive and Resilient Economy <input type="checkbox"/> Collaborative and Reliable Institutions <input type="checkbox"/> Efficient, Clean, and Sustainable Environment
2.2.3 Sector Relevance <i>(choose maximum of 2)</i>	Innovation Priority Areas <input type="checkbox"/> Learning and Education <input type="checkbox"/> Health <input type="checkbox"/> Finance <input type="checkbox"/> Food and Agribusiness <input type="checkbox"/> Trade and Manufacturing <input type="checkbox"/> Transportation and Logistics <input type="checkbox"/> Public Administration <input type="checkbox"/> Security and Defense <input type="checkbox"/> Blue Economy and Water <input type="checkbox"/> Energy <input type="checkbox"/> Others (Please specify): _____ <input type="checkbox"/> Sector-agnostic (cross-cutting)

2.2.4 Responsiveness to Philippine Innovation Act (choose maximum of 4):	
Agency P/A/Ps for the following:	Support to Innovation Ecosystems
<input type="checkbox"/> MSME Innovation (Sec 12)	<input type="checkbox"/> Innovation Centers and Business Incubators (Sec 13)
<input type="checkbox"/> Regional Innovation and Cluster Development Program (Sec 14)	<input type="checkbox"/> Innovation Instruments (Sec 16)
<input type="checkbox"/> Strategic RD&E (Sec 15)	<input type="checkbox"/> Technology Programs <input type="checkbox"/> Innovation Networks
<input type="checkbox"/> Innovation Instruments (Sec 16)	<input type="checkbox"/> Technology Platforms <input type="checkbox"/> S&T Parks
<input type="checkbox"/> Diaspora for Innovation and Development (Sec 18)	<input type="checkbox"/> Human Capacity Building Programs
<input type="checkbox"/> Advocacy and Community Education (Sec 20)	Pursuance of innovative projects by the government
	<input type="checkbox"/> Public Sector Innovation
	<input type="checkbox"/> Inclusive/Social Innovation
2.2.5 Common Elements and Other Potential Socio-Economic Impact	
Applicable SDGs (Please enumerate, max of 4):	<input type="checkbox"/> Disaster Risk Reduction and Resilience
1.	<input type="checkbox"/> Climate Change Adaptation and Mitigation
2.	<input type="checkbox"/> Digitization of Government Service Delivery
3.	<input type="checkbox"/> Comparative Strengths and Advantages of Communities
4.	<input type="checkbox"/> Traditional Knowledge and Cultural Expressions
2.3. Objectives and Targeted Outcome	
2.3.1 Target Beneficiaries	
2.3.2 Specific Target Groups (if applicable)	<input type="checkbox"/> Youth & Children <input type="checkbox"/> Senior Citizen <input type="checkbox"/> PWD <input type="checkbox"/> Indigenous Peoples <input type="checkbox"/> Others _____ <input type="checkbox"/> Geographically Isolated and Disadvantaged Areas <input type="checkbox"/> Gender and Development (GAD) groups
2.3.1 Effectiveness: Outcome Indicators	
2.3.2 Efficiency: Output Indicators/ Physical Target Accomplishment	
2.4. Implementation	
2.4.1 Narrative of Implementation Plan and Strategies	

2.4.2 Mode of Delivery (if the proposal has a capacity-building component)	<input type="checkbox"/> Majority virtual <input type="checkbox"/> Majority physical <input type="checkbox"/> Hybrid
2.4.3 Scale of Implementation and Impact	<input type="checkbox"/> National <input type="checkbox"/> Regional and Inter-regional <input type="checkbox"/> Local
2.4.4 Location of Implementation (if applicable)	

3: Work and Financial Plans

Accomplish the forms and attach them inside the field boxes below. For PDF submissions, please also send the soft copy format of the document.

1. Form 3A: Project Work and Financial Plan	[Insert Link]
2. Form 3B: Line-Item Budget Proposal	[Insert Link]

4. Undertaking

1. We certify that the information and attached documents in this application form are complete, true, and correct to the best of our knowledge. We acknowledge that any misrepresentation or false information discovered by the review and selection committee in this document can be used as a basis for the non-acceptance of our proposal, or full return of grant funding (if already awarded).
2. We acknowledge that if the proposal is shortlisted, we shall submit additional documents such as the Memorandum of Agreement, Monitoring and Evaluation arrangement (consisting of the theory of change framework and risk management plan), and other pertinent documents required to ensure effective implementation of the project.
3. We declare that we are not agents of nor related by consanguinity or affinity up to the fourth civil degree to any NEDA officer authorized to process and/or approve the grant and release of funds.
4. We agree and consent to the collection, processing, sharing, and storing of any personal information provided in this document for the application of grants under the Innovation Fund. We also understand that the information stated in this form will be shared with other government agencies per Republic Act No. 10173 or the "Data Privacy Act of 2012" and its Implementing Rules and Regulations.

Signed:

Name

Project Leader/Focal

Name

Head of Implementing Entity/Agency Unit

Attachments required:

Put an X mark if the requested document is part of the submission.

For Public Sector Partners – NGAs/LGUs/SUCs

Checklist

1. Endorsement Letter from the Head of the Agency or LGU
 2. Project Proposal (if done in a separate document)
 3. Summary of Previous Innovation-related PAPs (Form 2)
 4. Project Work and Financial Plan (Form 3A)
 5. Line-Item Budget (Form 3B)
 6. Most recent Agency Scorecard (or similar certifications)
 7. Other supporting documents: Please specify
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**Republic of the Philippines
National Innovation Council**

**FY 2022 INNOVATION GRANTS
APPLICATION FORM GUIDE**

Instructions:

1. Input the requested information from the fields indicated per section. Use Arial font, font size 11. Make sure that the data supplied are complete, true, and correct.
2. Send your proposals to innovationfund@neda.gov.ph with the subject:
[IF Grant Application_Name of Implementing Entity_Date]
3. Wait for an account officer to respond to your submission within three (3) to five (5) working days. Please note that the NIC Secretariat will only accept eligible applicants with sufficient requirements.

1. General Information	
Box 1.1	Identify which Department/Agency the implementing entity is attached to. For HEIs/SUCs, put Commission on Higher Education. For LGUs, put Department of Local and Interior Government.
Box 1.2	Identify the implementing entity/ agency unit submitting the proposal. NIC member agencies, attached agencies, attached GOCCs, regional offices, and operating units can submit a proposal, <i>provided</i> that, for regional offices and operating units, they shall be: (1) directly receiving budgets from the DBM; (2) recipient of fund transfers from higher-level agencies; or (3) authorized to receive grants and/or collect revenues.
Box 1.3	Provide the address of the implementing entity/agency unit.
Box 1.4	Provide the name and designation of the head of implementing entity .
Box 1.5	Provide contact details including the implementing entity/agency's official website, Facebook page, and other social media handles.
Box 1.6	Assign a project leader , regardless of status, who shall be the point of contact between the NIC Secretariat and implementing entity/ agency unit.
Box. 1.7	Assign a focal person (if different from the project leader), preferably permanent, who shall be the point of contact between the NIC Secretariat and implementing entity/agency unit.
Box 1.8	Assign an alternate focal person , preferably an administrative staff, who shall be the point of contact between the NIC Secretariat and implementing entity/ agency unit.
Box 1.9	If applicable, indicate existing and potential partners (both public and private entities) to be engaged for the implementation of the project. Further, specify the nature of such partnership or the roles of said partners.

2. Project Proposal

2.1. Overview	
Box 2.1.1.	Write the title for the proposal
Box 2.1.2.	Provide a short description of the proposal including the societal problem identified and the innovative solution that the program/project/proposal offers (max of 50 words).
Box 2.1.3.	Fill in the blanks for the objective statement : "The program/project aims to _____."
Box 2.1.4.	Estimate the funding requirement (in Philippine Peso) needed for the proposal.
Box 2.1.5	Identify the implementation period of the proposal in months and years.

2.2 Rationale	
Box 2.2.1	Elaborate the significance of the proposal by identifying societal problem/s or developmental challenge/s that the proposal will address. Please provide data sources, research literature, market and environmental analyses, or other credible information that supports the claims presented. (maximum of 500 words)
Box 2.2.2	Put a checkmark beside the strategic innovation goal/s the proposal best aligns with. (maximum of 2 goals)
Box 2.2.3	Put a checkmark beside the relevant sector/s under the National Innovation Agenda Framework that the proposal best caters to. If the sector is not part of the ten priority areas listed, please check the others and specify the sector involved. However, if the proposal does not target any specific sector and broadly targets the general public, please check "sector-agnostic."
Box 2.2.4	Put a checkmark beside the relevant portions of R.A. 11293 or the Philippine Innovation Act to which the program or project proposal aligns. Kindly refer to Section 3 of the Philippine Innovation Act and its IRR for definitions.
Box 2.2.5	Enumerate the applicable SDGs that the proposal responds to (e.g., SDG 7, SDG 9, and SDG 11). Put a checkmark if the proposal has a potential socioeconomic impact through the enumerated themes cited under R.A. 11293.

2.3. Objectives and Targeted Outcomes	
Box 2.3.1	Identify the target beneficiaries of the project, enumerate if necessary.
Box 2.3.2	Check the appropriate boxes for specific target groups if applicable. Multiple answers are allowed.
Box 2.3.3	List the outcome performance indicators (maximum of 3) to show how the proposed program/project and strategies contribute to achieving the objective statement. In the M&E arrangement/plan, the indicators must have a source or process of collection of data, unit of measurement, and means of verification. If applicable, indicate whether aligned with other indicators such as Results Matrix of the Philippine Development Plan or agency's Performance Information in the General Appropriations Act.
Box 2.3.4	List the corresponding output indicators or target physical accomplishment (maximum of 3) associated with the proposed program/project activities.

2.4. Implementation	
Box 2.4.1	Indicate the strategies and activities to be conducted to achieve the targeted outputs. Describe the components of the program/project and the units in the agency that will be involved in program implementation. Specify the engagement of partner entities and their responsibilities.
Box 2.4.2	For programs with capacity-building components and marketing or service activities, indicate whether the method of implementation will be primarily physical, virtual, or hybrid.
Box 2.4.3	Identify the scope of implementation . Indicate National if the identified beneficiaries or the implementation sites are from multiple regions. Indicate Regional if the identified beneficiaries or the implementation sites are from multiple provinces, cities, and municipalities but are within the same region. If the program/project proposal targets a specific province, city, municipality, or congressional district, indicate local.

Box 2.4.4	For program/project proposals that are regional or local in scope, identify the scale of impact by <u>providing the region, province, city/municipality and congressional district</u> to be covered by the project as granular as practicable.
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4. Undertaking

The signatories provided under Boxes 1.4 and 1.6 of the application form should sign this section.

This ascertains that the applicant willfully complies with the conditions set in this section such as the veracity of the information supplied, adherence to the forthcoming documents required, ethical consideration, and data privacy terms.

Thank you! We look forward to more innovation-drivers in the country!

Search ...



[\(https://neda.gov.ph/\)](https://neda.gov.ph/)

Philippine Standard Time:
Friday, July 01, 2022, 9:32:02 AM

Call for Proposals: FY2022 Innovation Grants

Last modified on June 27, 2022

CALL FOR PROPOSALS
FY 2022 Innovation Grants

NEDA-DBM Joint Memorandum Circular (JMC) 2022-01


"Implementing Guidelines on the use of the PHP 200 Million Innovation Fund under FY 2021 GAA pursuant to RA No. 11293, otherwise known as the Philippine Innovation Act"

Eligible entities include:

- National Government Agencies
- Local Government Units
- State Universities and Colleges
- Government Owned and Controlled Corporations

UPDATE: Deadline extended to July 15, 2022.

BACKGROUND

Republic Act No. 11293, otherwise known as the **Philippine Innovation Act**, declares the **GOVPH (<http://www.gov.ph>)** **Home (<https://neda.gov.ph>)** **About NEDA** **Focus Areas** policy of the State to foster innovation as a vital component of national development and sustainable economic growth. Innovation, as defined in the Act, refers to the creation of new ideas that result in the development of new or improved policies, products, processes and services which are then spread or transferred across the market. 

Under a special provision of Republic Act No. 11518 or FY 2021 General Appropriations Act (GAA), the National Economic and Development Authority (NEDA) was authorized to issue innovation grants, subject to the guidelines by NEDA and the Department of Budget and Management (DBM). For this particular round of grants, the guidelines cover eligible entities from the public sector.

With this, NEDA is opening the Call for Proposals for **FY 2022 Innovation Grants** until **30 June 2022**.

For detailed information, scroll through the details below. You may also access a copy of the complete guidelines, through this link: **[NEDA-DBM Joint Memorandum Circular No. 2022-01 \(\[https://neda.gov.ph/wp-content/uploads/2022/06/1_NEDA-DBM-Joint-Memorandum-Circular-No-2022-01.pdf\]\(https://neda.gov.ph/wp-content/uploads/2022/06/1_NEDA-DBM-Joint-Memorandum-Circular-No-2022-01.pdf\)\)](https://neda.gov.ph/wp-content/uploads/2022/06/1_NEDA-DBM-Joint-Memorandum-Circular-No-2022-01.pdf)**.

For inquiries, you may reach the Innovation Fund Management Division through email at **innovationfund@neda.gov.ph** (**<mailto:innovationfund@neda.gov.ph>**) or contact number **8-631-0960 loc. 21615**. You may also visit the National Innovation Council (NIC) website at **nic.neda.gov.ph/** (**<http://nic.neda.gov.ph/>**) to check updates and other related news.

ELIGIBLE APPLICANTS

Entities eligible for the submission of proposals are the following:

1. National Government Agencies (NGAs), including their attached agencies, regional offices, and operating units;
2. Local Government Units (LGUs);
3. State Universities and Colleges (SUCs); and
4. Government-Owned and -Controlled Corporations (GOCCs).

Attached agencies, regional offices, and operating units may submit proposals through their respective central offices or parent agencies.

ELIGIBLE PROGRAMS/ACTIVITIES/PROJECTS

[GOVPH \(http://www.gov.ph\)](http://www.gov.ph)

[Home \(https://neda.gov.ph\)](https://neda.gov.ph)

[About NEDA](#)

[Focus Areas](#)

Funding support can be provided to new or existing programs, activities, or projects

implemented by NGAs, LGUs, SUCs, and GOCCs that include, but are not limited to the following:

Search ...



1. Specific agency PAPs for the implementation of:
 - a. MSME innovation program;
 - b. Regional innovation and cluster policy;
 - c. Strategic research, development, and extension;
 - d. Innovation instruments;
 - e. Diaspora for innovation and development;
 - f. Advocacy and community education; and
 - g. Other related innovation development activities
2. Support to strengthen the innovation ecosystem through establishment of innovation centers and business incubators under Section 13 of the Philippine Innovation Act, and employment of innovation instruments under Section 16 of the same law.

The following clusters of activities may qualify for the innovation grants. Applicants may choose to combine elements from the clusters as they deem relevant.

1. **Development and Implementation of Innovative Projects** – refers to the pre-commercialization and commercialization activities of publicly-funded R&D outputs; it includes activities beyond the R&D phase, namely prototyping, pilot testing, production, and promotion activities. It also pertains to public sector innovations, inclusive or grassroots innovation, and social innovation that an implementing entity may undertake which aim to introduce new product innovation or improved services and processes.
2. **Enhancement of Innovation Facilities and Services** – refers to the establishment and upgrades of existing government-owned or government-leased properties to strengthen the innovation ecosystem, including operating expenses for co-working spaces, incubation support, and shared business services.
3. **Support to Innovation Policy Research** – refers to activities necessary to carry out research studies pertaining to the introduction of new or significantly different solutions to policy problems and improving innovation governance.
4. **Building Capacities and Expanding Opportunities for Innovation** – refers to activities which foster a culture of innovation and strengthen innovation alliances such as capacity-



FUNDING THRESHOLD

The funding support threshold for the implementation of new or existing innovation-related programs, activities, or projects by government entities shall be:

1. Grant should not exceed **PHP30 million** if the scope of implementation is national.
2. Grant should not exceed **PHP15 million** if the scope of implementation is regional or inter-regional.
3. Grant should not exceed **PHP7.5 million** if the scope of implementation is local, or through LGUs or SUCs.

Project proponents shall also ensure that the proposed program, activity, or project has not received any other type of funding support from the FY 2022 GAA as this would constitute

double funding. Further, application for the grant should also consider the agency's absorptive capacity, and must not be in conflict with other related laws, rules, and regulations.

APPLICATION PROCESS

I. General Criteria:

- a. Project/Program proposals must be aligned with sections or themes in the Philippine Innovation Act, such as MSME innovation, regional innovation and cluster policy, strategic RD&E, innovation centers and business incubators, innovation instruments, diaspora for innovation, advocacy and community education, and with the priority areas identified under the Philippine Innovation Act.
- b. The proposal must exhibit novelty in implementation or replaces or improves an existing product, service, or process, with potential to solve the right problem with a clear value proposition that resonates with the intended constituency's relevant needs. The proposal must also be viable and sustainable and expected outcomes must result in positive changes for the target beneficiaries.

- c. Implementing entities are assessed based on their competence exhibited through experience, capability, compliance, and character (good governance conditions).
- d. Project/Program proposals must be gender-responsive, gender-sensitive, or with promising GAD components.

f. Endorsement should be secured from the Head of Agency.

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• **Proposal submission, evaluation and approval:**

- a. All application forms and required documents shall be duly signed by authorized personnel.
- b. Both signed and an online copy of forms shall be accomplished and submitted by the proponent. Submit the following documents (in signed PDF and editable format) to innovationfund@neda.gov.ph:
 - i. Endorsement Letter from the Head of Agency/LGU
 - ii. **Accomplished Form 1 – Grant Application Form (https://neda.gov.ph/wp-content/uploads/2022/06/2_Form-1-Grant-Application-Form-6142022.docx)**
 - iii. **Accomplished Form 2 – Summary of Previous Innovation-related PAPs (https://neda.gov.ph/wp-content/uploads/2022/06/3_Form-2-Summary-of-Previous-Innovation-related-PAPs.docx)**
 - iv. **Accomplished Form 3A – Work and Financial Plan (https://neda.gov.ph/wp-content/uploads/2022/06/4_Form-3A-Work-and-Financial-Plan.xlsx)**
 - v. **Accomplished Form 3B – Line-Item Budget (https://neda.gov.ph/wp-content/uploads/2022/06/5_Form-3B-Project-Line-Item-Budget.xlsx)**
 - vi. Most recent Agency Scorecard (or any proof of compliance to performance and reporting standards, such as Transparency Seal, ISO Quality Management System, FOI, Citizen's Charter, etc.)
 - vii. Project Proposal (if done in a separate document)
 - viii. Other supporting documents, as deemed necessary.
- c. Proposals shall be screened and evaluated based on set criteria identified in Section 9 of the NEDA-DBM JMC.
- d. The proposed project or program components must be completed on or before December 2022.

Frequently Asked Questions (FAQs) on FY 2022 Innovation Grants

What are the key considerations for project implementation?

Do you have a prescribed template or format for the project proposal?



Can local government units (LGUs) and state universities and colleges (SUCs) submit a proposal?

Are there specific qualifications for project leaders or agency focal persons?

Can two or more agencies submit a joint proposal? Can agencies partner with each other in implementing a project?

Can a multi-year project proposal be applied for Innovation Grants?

How long will the evaluation process take?

Can the approved budget be realigned during the implementation phase?

Can the period of implementation be extended beyond December 31, 2022?

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DOWNLOADS

[NEDA-DBM Joint Memorandum Circular No. 2022-01](https://neda.gov.ph/wp-content/uploads/2022/06/1_NEDA-DBM-Joint-Memorandum-Circular-No-2022-01.pdf)

(https://neda.gov.ph/wp-content/uploads/2022/06/1_NEDA-DBM-Joint-Memorandum-Circular-No-2022-01.pdf)

[Accomplished Form 1 – Grant Application Form](https://neda.gov.ph/wp-content/uploads/2022/06/2_Form-1-Grant-Application-Form-6142022.docx)

(https://neda.gov.ph/wp-content/uploads/2022/06/2_Form-1-Grant-Application-Form-6142022.docx)

[Accomplished Form 2 – Summary of Previous Innovation-related](https://neda.gov.ph/wp-content/uploads/2022/06/3_Form-2-Summary-of-Previous-Innovation-related-PAPs.docx)

[PAPs \(https://neda.gov.ph/wp-content/uploads/2022/06/3_Form-2-Summary-of-Previous-Innovation-related-PAPs.docx\)](https://neda.gov.ph/wp-content/uploads/2022/06/3_Form-2-Summary-of-Previous-Innovation-related-PAPs.docx)

[Accomplished Form 3A – Work and Financial Plan](https://neda.gov.ph/wp-content/uploads/2022/06/4_Form-3A-Work-and-Financial-Plan.xlsx)

(https://neda.gov.ph/wp-content/uploads/2022/06/4_Form-3A-Work-and-Financial-Plan.xlsx)

[Accomplished Form 3B – Line-Item Budget](https://neda.gov.ph/wp-content/uploads/2022/06/5_Form-3B-Project-Line-Item-Budget.xlsx)

(https://neda.gov.ph/wp-content/uploads/2022/06/5_Form-3B-Project-Line-Item-Budget.xlsx)

[PRESENTATION OF THE IMPLEMENTING GUIDELINES FOR THE](https://neda.gov.ph/wp-content/uploads/2022/06/6_Salient-Features-of-RA11293-and-Updates-on-Innovation-Fund.pdf)

[INNOVATION GRANTS](https://neda.gov.ph/wp-content/uploads/2022/06/6_Salient-Features-of-RA11293-and-Updates-on-Innovation-Fund.pdf)

(https://neda.gov.ph/wp-content/uploads/2022/06/6_Salient-Features-of-RA11293-and-Updates-on-Innovation-Fund.pdf)

[INSTRUCTION GUIDE FOR THE FINANCIAL FORMS](https://neda.gov.ph/wp-content/uploads/2022/06/7_Instruction-Guide-for-the-Financial-Forms.pdf)

(https://neda.gov.ph/wp-content/uploads/2022/06/7_Instruction-Guide-for-the-Financial-Forms.pdf)

SAMPLE 1: WORK AND FINANCIAL PLAN AND LINE ITEM BUDGET
(https://neda.gov.ph/wp-content/uploads/2022/06/8_Sample-1-WFP-and-LIB.xlsx)

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SAMPLE 2: WORK AND FINANCIAL PLAN AND LINE-ITEM BUDGET
(https://neda.gov.ph/wp-content/uploads/2022/06/9_Sample-2-WFP-and-LIB.xlsx)

**National Economic and
Development Authority**

No. 12 St. Josemaria Escriva Drive, Ortigas Center,

Pasig City, 1605 Philippines

Tel: (+632) 8631-09-45 to 68

Email: nedapr@neda.gov.ph (<mailto:nedapr@neda.gov.ph>)

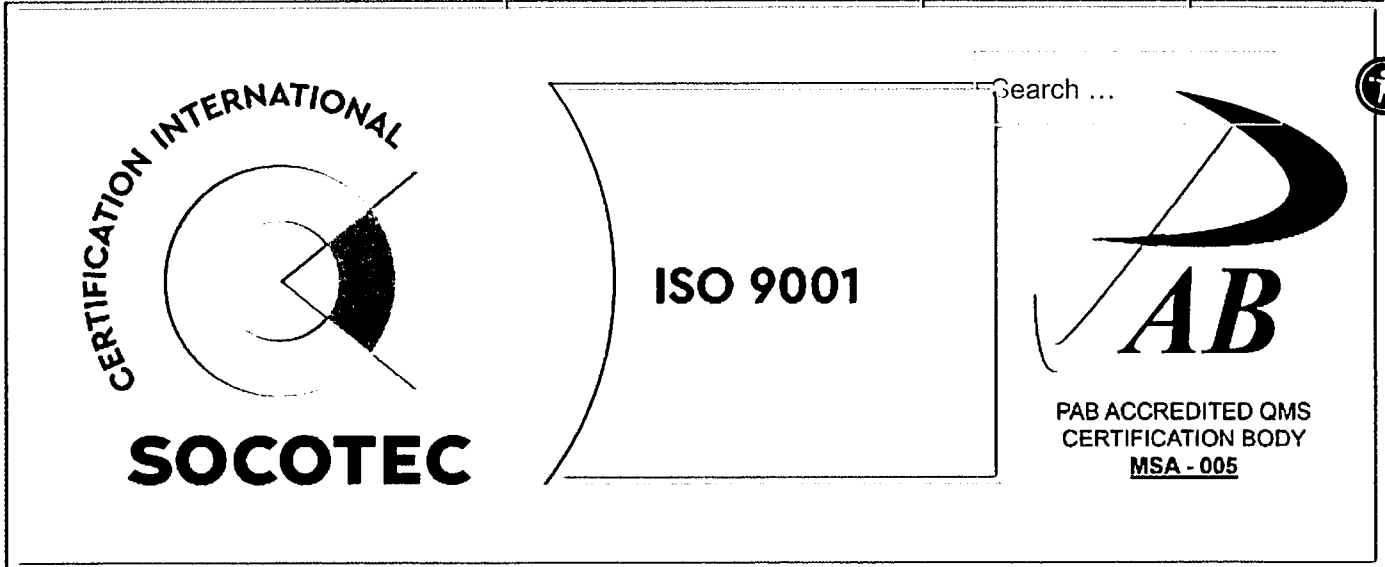
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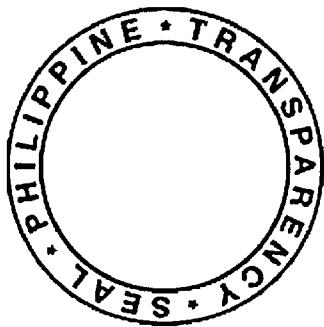
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Republic of the Philippines
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY
DEPARTMENT OF BUDGET AND MANAGEMENT

NEDA-DBM JOINT MEMORANDUM CIRCULAR (JMC) No. 2022-01

Date: MAY 31 2022

FOR : ALL HEADS OF DEPARTMENTS, AGENCIES, BUREAUS, OFFICES, COMMISSIONS, STATE UNIVERSITIES AND COLLEGES, GOVERNMENT-OWNED AND -CONTROLLED CORPORATIONS, OTHER INSTRUMENTALITIES OF THE NATIONAL GOVERNMENT AND ALL OTHERS CONCERNED

SUBJECT : IMPLEMENTING GUIDELINES ON THE USE OF THE PHP 200 MILLION INNOVATION FUND UNDER REPUBLIC ACT (RA) NO. 11518, OTHERWISE KNOWN AS THE "GENERAL APPROPRIATIONS ACT OF 2021", PURSUANT TO RA NO. 11293, OTHERWISE KNOWN AS THE "PHILIPPINE INNOVATION ACT"

1.0 BACKGROUND

Section 2 of RA No. 11293 declares the policy of the State to foster innovation as a vital component of national development and sustainable economic growth. Section 3 of the same law defines innovation as the creation of new ideas that results in the development of new or improved policies, products, processes and services which are then spread or transferred across the market.

For the effective implementation of RA No. 11293, Special Provision (SP) No. 1 on Innovation Fund, National Economic and Development Authority (NEDA) - Office of the Director-General (ODG) under Republic Act No. 11518 or Fiscal Year (FY) 2021 General Appropriations Act (GAA) authorized the appropriation of an amount of Two Hundred Million Pesos (PHP 200,000,000.00) as an Innovation Fund under NEDA.

Pursuant to SP No. 1 on the Innovation Fund, NEDA-ODG under FY 2021 GAA, the Innovation Fund shall be used exclusively for the issuance of grants pursuant



to RA No. 11293, subject to the guidelines to be issued by NEDA and the Department of Budget and Management (DBM).

RA No. 11640, which was signed on 30 December 2021, extends the availability of the 2021 appropriations under the FY 2021 GAA until 31 December 2022.

2.0 PURPOSE

These guidelines are being issued to provide the rules and regulations for the utilization of the Innovation Fund exclusively for the issuance of grants pursuant to RA No. 11293 and the SP No. 1 on Innovation Fund, NEDA-ODG under FY 2021 GAA.

3.0 SCOPE

These guidelines shall apply to innovation grants for programs, activities, and projects that work on promoting and implementing all potential types and sources of innovation proposed by public sector implementing entities, subject to existing budgeting, accounting, and auditing rules and regulations.

These include product innovation, process innovation, organizational innovation, social innovation, marketing innovation, academic or educational innovation, or policy innovation.

4.0 DEFINITION OF TERMS

4.1 Absorptive capacity – the ability of the institution or agency to utilize and maximize its current and available financial resources. It is measured by the entity's obligation budget utilization rate.

4.2 Innovation grants – non-repayable transfers to other levels of governments or general budget support for particular innovation programs or innovative projects.

4.3 Implementing entity – a public sector entity with a proven record of accomplishments and an established reputation in designing, developing, implementing, and monitoring innovative programs or projects. It can also refer to agencies attached to a department or lower-level operating units supervised and controlled or administratively supervised by a department or agency.



4.4 Innovation centers – refer to centers of competence and innovation activities, either housed in a government, academic, educational, or non-academic facility that supports collaborative research, development, and extension (RD&E) initiatives and innovation-related activities between and among academic or educational institutions, RD&E centers, and business. Innovation centers are collaborative partnerships of public and private institutions and academe.

4.5 Micro, small, and medium enterprises (MSMEs) – any business activity or enterprise engaged in industry, agribusiness and/or services, whether single proprietorship, cooperative, partnership, or corporation, whose total assets, inclusive of those arising from loans but exclusive of the land on which the particular business entity's office, plant, and equipment are situated must have values falling under specific categories provided under Section 3 of RA No. 9501, otherwise known as the Magna Carta for Micro, Small, and Medium Enterprises.

4.6 Program/activity/project – any work process or group of work processes undertaken to realize the outputs and outcomes of an agency.

4.7 Public sector – any Philippine government office or agency, including local government units (LGU), state universities and colleges (SUC), government-owned and -controlled corporations (GOCC), or other government instrumentalities capable of receiving and managing grants on behalf of the National Innovation Council (NIC).

5.0 ELIGIBLE ENTITIES

5.1 Entities eligible for the submission of proposals are the following:

1. National government agencies (NGAs), including their attached agencies, regional offices, and operating units;
2. LGUs;
3. SUCs; and
4. GOCCs.

5.2 Regional offices and operating units may submit proposals through their respective central offices or parent agencies.



5.3 Attached agencies, regional offices and operating units are eligible to submit a proposal separate from their respective central offices or parent agencies if all of the following criteria are met:

1. Directly receiving budgets from the DBM;
2. Recipient of fund transfers from higher-level agencies;
3. Authorized to receive grants and/or collect revenues; and
4. Endorsed by the Secretary or head of the agency.

6.0 TYPES OF FUNDING SUPPORT

6.1 In its statement of policy, RA No. 11293 provides that the State shall harness innovation efforts to help the poor and the marginalized and to enable MSMEs to be part of the domestic and global supply chain. As such, funding support can be provided to new or existing programs, activities, or projects implemented by NGAs, LGUs, SUCs, and GOCCs, that qualify for innovation grants, which include, but are not limited to the following:

1. Specific agency PAPs for the implementation of:
 - a. MSME innovation program;¹
 - b. Regional innovation and cluster policy;²
 - c. Strategic research, development, and extension;³
 - d. Innovation instruments;⁴
 - e. Diaspora for innovation and development;⁵
 - f. Advocacy and community education;⁶
 - g. Other related innovation development activities.
2. Support to strengthen the innovation ecosystem through establishment of innovation centers and business incubators under Section 13 of RA No. 11293, and employment of innovation instruments under Section 16 of the same law;

6.2 Further, the following clusters of programs, activities, or projects may qualify for the innovation grants. Applicants may choose to combine elements from the clusters as they deem relevant.

¹ Section 12 of RA No. 11293

² Section 14 of RA No. 11293

³ Section 15 of RA No. 11293

⁴ Section 16 of RA No. 11293

⁵ Section 18 of RA No. 11293

⁶ Section 20 of RA No. 11293

1. **Development and Implementation of Innovative Projects** – refers to the pre-commercialization and commercialization activities of publicly-funded R&D outputs; it includes activities beyond the R&D phase, namely prototyping, pilot testing, production, and promotion activities.

It also pertains to public sector innovation, inclusive or grassroots innovation, and social innovation that an implementing entity may undertake which aim to introduce new product innovation or improved services and processes.

2. **Enhancement of Innovation Facilities and Services** – refers to the establishment and upgrades of existing government-owned or government-leased properties to strengthen the innovation ecosystem, including operating expenses for co-working spaces, incubation support, and shared business services.
3. **Support to Innovation Policy Research** – refers to activities necessary to carry out research studies pertaining to the introduction of new or significantly different solutions to policy problems and improving innovation governance.
4. **Building Capacities and Expanding Opportunities for Innovation** – refers to activities which foster a culture of innovation and strengthen innovation alliances such as capacity-building activities, trade exhibits, expositions and missions, and other platforms for collaboration.

6.3 Grant funding shall be provided such that duplication of initiatives is prevented. Such support should also consider an agency's absorptive capacity and not conflict with other related laws, rules, and regulations.

6.4 Project proponents shall also ensure that the proposed program, activity, or project has not received any other type of funding support from the FY 2022 GAA as this would constitute double funding.



7.0 FUNDING THRESHOLD AND LIMITATIONS

7.1 The funding support threshold for the implementation of new or existing innovation-related programs, activities, or projects by government agencies shall be:

1. Grant should not exceed PHP 30 million if the scope of implementation is national.
2. Grant should not exceed PHP 15 million if the scope of implementation is regional or inter-regional.
3. Grant should not exceed PHP 7.5 million if the scope of implementation is local, or through LGUs or SUCs.

7.2 Lower-level implementing units, such as attached agencies, regional units, and operating units, should obtain a letter of endorsement of the Secretary or head of agency exercising supervision over it.

7.3 Each LGU and SUC, inclusive of all its campuses, autonomous, and constituent units, can only submit a maximum of five proposals.

7.4 Further, the NIC Secretariat shall use the National Innovation Agenda Framework as approved by the NIC as the guiding principle for fund allocation.

8.0 GRANT APPLICATION REQUIREMENTS

8.1 To apply for funding, the NIC Secretariat requires the applicant agency or organization to accomplish the attached grant application form (Annex A) and provide the supporting documents required. The form includes the following details:

1. **General Information** – includes the agency or organization's identification, contact details, and focal personnel.
2. **Project/Program Proposal** – describes the concept, rationale, objectives, impact, duration, and relevance of the project, whether it aligns with the priority sectors and has the best potential to benefit the poorest of the poor. It also includes the implementation strategies and expected outcomes.



3. **Work and Financial Plans** – details the project's work and financial plans, which determine the soundness and feasibility of the execution and implementation.
4. **Undertaking** – contains the terms and conditions that the applicant must certify and agree with.

9.0 EVALUATION OF PROPOSALS

9.1 A set of criteria (Annex B) shall serve as the tool to evaluate the qualifications of implementing entities and implementation readiness of their project or program proposals. The criteria are clustered as follows:

1. On Implementing Entities [40%]:

a. Competence	The entity has experience in implementing innovation-related programs, activities, or projects.
b. Capability	The entity has the ability to utilize and maximize its current and available financial resources, or the entity's absorptive capacity.
c. Compliance	The entity complies with various performance and reporting standards such as public financial management (PFM) reporting requirements, streamlining and process improvement, and ISO Quality Management System (QMS) certification.
d. Character	The entity complies with good governance conditions such as the Transparency Seal, Philippine Government Electronic Procurement System (PhilGEPS) Posting, Citizen's Charter, and Freedom of Information (FOI) Program; or Seal of Good Local Governance (SGLG) for LGUs.

2. On the Project/Program Proposal [60%]:

a. Innovativeness	The proposal exhibits novelty in implementation or service delivery, or the solution improves or replaces an existing product, service, or process
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b. Strategic Alignment	alignment with various sections or themes in the RA No. 11293.
c. Service-Orientation/ Responsiveness	The proposal has the potential to solve the right problem with a clear value proposition that resonates with the intended constituency's relevant needs.
d. Sound Implementation Plan	The proposal is viable and sustainable with supporting analysis derived from existing data and emerging trends
e. Socioeconomic and Environmental Impact	The proposal has positive outcomes to the specified target beneficiaries

10.0 GRANT ADMINISTRATION PROCESS

10.1 Call for Proposals

The NIC Secretariat shall issue a call for proposals and communicate these guidelines to agencies.

The Department of the Interior and Local Government (DILG) shall endorse the call for applications to LGUs. Likewise, the Commission on Higher Education (CHED) shall endorse the call for applications and proposals to SUCs.

10.2 Screening and Approval of Proposals

10.2.1 The NIC Secretariat shall be responsible for the screening and rating of the proposals, to be endorsed by the NIC Executive Director to the NIC Executive Technical Board (NIC-ETB) for approval.

Approving Authority	:	NIC-ETB
Endorsing Authority	:	NIC Executive Director



Screening and Rating	:	NIC Secretariat
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10.2.2 Only applicants with complete documents shall be endorsed for further screening, review, and selection. In case of incomplete submission, the NIC Secretariat shall inform the proponent of the missing documents. The NIC Secretariat shall also prepare the pertinent documents and arrange meetings with the proponent as necessary.

The NIC-ETB reserves the right to accept or reject any or all proposals, or separable portions thereof, and to waive any minor irregularity, technicality, or omission as needed.

10.2.3 For the FY 2022 implementation, the NIC Secretariat shall conduct the evaluation of grant proposals by batches for applications submitted from 6 June 2022 to 30 June 2022.

10.3 Terms Negotiation and Awarding

10.3.1 The NIC Secretariat shall notify proponents of highly rated proposals for due diligence, preparation of legal documents, and verification of budget documents. The implementing entity must also prepare a monitoring and evaluation arrangement, consisting of the theory of change framework, means of verification, and risk management plan, to ensure the effective implementation of the project. The NIC-ETB has the prerogative to negotiate the award and choose which proposal components will be funded.

10.3.2 The NIC Secretariat shall conduct consultations for legal review to assess whether the terms and conditions are legally enforceable to protect all party's interests. If the legal review identifies no issues with the terms and conditions of the agreement, the NIC Secretariat shall complete the execution of the agreement and notify the grant recipient. However, if the legal review identifies any issues with the terms and conditions of the award, the NIC Secretariat shall notify the grant recipient and may consult the NIC-ETB to resolve the matter.



10.3.3 If the grant recipient and the NIC-ETB cannot arrive at a mutually agreeable position, the grant recipient may choose not to accept the award. The grant recipient, through written correspondence, shall clearly specify the reasons why the award is being declined. Failure to provide a reasonable justification for a sudden decline of the award shall mean future proposals for funding from the implementing entity will be less prioritized.

10.4 Grant Fund Release and Utilization

10.4.1 The grant released to implementing entities shall be available for use in line with the validity of the FY 2021 GAA or until 31 December 2022. Moreover, the implementation of any NIC-approved project extension shall also be until 31 December 2022 only, and shall be subject to existing government budgeting, accounting, and auditing rules and regulations.

10.4.2 The transfer of grant funds shall be in accordance with the terms of a memorandum of agreement (MOA) executed between NEDA and the implementing entity. Project funds shall be deposited in any authorized government depository bank. The grant awarded through these guidelines shall not be used for money market placement, time deposit, purchase of real property, or other forms of investment not related to the project.

10.4.3 In the course of implementation, and as the need demands, any modifications by implementing entities in the utilization of the grant fund shall be communicated in writing to secure the concurrence from the NIC Secretariat.

10.4.4 Furthermore, procurement rules and guidelines, pursuant to RA No. 9184, otherwise known as the Government Procurement Reform Act, and its Revised IRR, shall apply for the innovation grants for the public sector.



10.5 Monitoring and Reporting

10.5.1 The implementing entities shall submit the following reports to the NIC Secretariat on the indicated timelines:

Report	Timeline
1. Status or Monitoring and Evaluation Report	Every end of the quarter during implementation
2. Financial Accountability or Liquidation Report	Every end of the quarter during implementation, including necessary supporting documents
3. Special Audit Report	Not later than six (6) months after the completion of the project
4. Terminal Report	Not later than six (6) months after final implementation of the project

10.5.2 The implementing entities shall provide the NIC Secretariat with status updates (*i.e.*, stories, pictures, press releases, announcements, or reports) if available. The NIC Secretariat shall consolidate the reports for review and presentation to the NIC and the Congress.

10.5.3 The implementing entities shall also authorize the NIC Secretariat to include information on the project details and outputs, partially or entirely, in its website and database and for use in the NIC's promotional efforts, reports, and other collateral materials as the NIC deems necessary per Section 16 of RA No. 11293.

10.5.4 The NIC Secretariat may undertake field validation, whenever necessary.



11.0 INTELLECTUAL PROPERTY

11.1 Matters affecting intellectual properties or intellectual property rights such as their ownership, protection, and utilization, technology disclosure, the exclusivity of the license, use of commercialization, the establishment of spin-off firms, technologies for research use and sharing of income, and benefits from technology commercialization shall be in accordance with RA No. 8293, otherwise known as the Intellectual Property Code of the Philippines, as amended, and related laws such as the RA No. 9168, otherwise known as the Philippine Plant Variety Protection Act of 2002, RA No. 8792, otherwise known as the Electronic Commerce Act, and RA No. 10055, otherwise known as the Philippine Technology Transfer Act of 2009.

11.2 Full information shall be disclosed to the NIC in case the project or program results are published or presented in various fora, seminars, and meetings. Such presentation should not prejudice the proprietary/confidential nature of the information for intellectual property protection purposes.

12.0 MISCELLANEOUS PROVISIONS

1. **Amendment.** The provisions, exhibits, and annexes of this JMC may be amended or supplemented as deemed necessary and agreed by NEDA and DBM, and in accordance with FY 2021 GAA, RA No. 11293, its IRR and other relevant laws, rules and regulations, and issuances.

2. **Separability Clause.** If, for any reason, any provision of this JMC is declared unconstitutional or invalid, such parts which are not affected thereby shall remain in force and effect.



3. **Repealing Clause.** All other issuances or parts thereof that are inconsistent with this JMC are hereby repealed, amended, and/or modified accordingly.

13.0 EFFECTIVITY

These guidelines shall take effect immediately.




KARL KENDRICK T. CHUA  OSEC-08745
Socioeconomic Planning Secretary
National Economic and
Development Authority


TINA ROSE MARIE L. CANDA 
Officer-in-Charge
Department of Budget
and Management

EVALUATION OF PROPOSALS

The following is a set of criteria that the NIC Secretariat will use to evaluate the qualifications of implementing entities and implementation readiness of their project or program proposals. The criteria are clustered and detailed below:

ASSESSMENT OF THE PUBLIC IMPLEMENTING PARTNER			
CRITERIA	EXEMPLARY	COMPETENT	DEVELOPING
Competence (10 pts)	10: Has implemented at least 4 similar Program/Activity/Projects in the past 2-3 years	5: Has implemented around 1-3 similar Program/Activity/Projects in the past 2-3 years	0: No similar Program/Activity/Projects implemented in the past 2-3 years
Capability (10 points)	10: The implementing partner was able to use at least 95% of its total allotment/ budget in the latest 3 years with available data	7: The implementing partner was able to use at least 85% of its total allotment/ budget in the latest 3 years with available data	3: The implementing partner was able to use below 85% of its total allotment/ budget in the latest 3 years with available data
Compliance (10 points)	10: The implementing partner is compliant with all 3 of the following indicators: <ul style="list-style-type: none"> • Streamlining and Performance Improvement • ISO 9001:2015 Certification • Public Financial Management reporting requirements to COA 	7: The implementing partner is compliant on any 2 of the following indicators: <ul style="list-style-type: none"> • Streamlining and Performance Improvement • ISO 9001:2015 Certification • Public Financial Management reporting requirements to COA 	3: The implementing partner is compliant on 1 of the following indicators: <ul style="list-style-type: none"> • Streamlining and Performance Improvement • ISO 9001:2015 Certification • Public Financial Management reporting requirements to COA

Character (10 points)	10: The implementing partner sustains all the conditions of good governance such as the Transparency Seal, PhilGEPS, and Citizen's Charter. For LGUs, the basis shall be the Seal of Good Local Governance (SGLG)	7: The implementing partner sustains any 2 of the conditions of good governance such as the Transparency Seal, PhilGEPS, and Citizen's Charter. For LGUs, the basis shall be the Seal of Good Local Governance	3: The implementing partner sustains 1 of the conditions of good governance such as the Transparency Seal, PhilGEPS, and Citizen's Charter. For LGUs, the basis shall be the Seal of Good Local Governance
TOTAL SCORE	40 points		

ASSESSMENT OF THE PROPOSED PROGRAM/ACTIVITY/PROJECT

CRITERIA	EXEMPLARY	ACCOMPLISHED	DEVELOPING	BEGINNING
Innovativeness (10 points)	<p><i>For specific project</i></p> <p>10: The solution improves or replaces an existing product, service, or process which has never been piloted before.</p> <p><i>For agency program</i></p> <p>10: The proposal exhibits novelty and scalability in the implementation or service delivery.</p>	<p>7: The solution represents local implementation of emerging innovation or trend, with potential benefits specified.</p> <p>7: The proposal exhibits either novelty or scalability in the implementation or service delivery.</p>	<p>3: The solution represents practices that are common within a field, or an adoption of a change with well-established benefits.</p> <p>3: The proposal somewhat exhibits novelty and/or scalability in the implementation or service delivery.</p>	<p>0: No innovation described or specific potential improvement defined.</p> <p>0: The proposal does not exhibit novelty or scalability in the implementation or service delivery.</p>

Strategic Alignment (20 points)	10: The proposed program/project/activity is responsive to any 3 sections/themes in RA 11293	7: The proposed program/project/activity is responsive to any 2 sections/themes in RA 11293	3: The proposed program/project/activity is responsive to any 1 section/theme in RA 11293	0: The proposed program/project/activity is not responsive to any section/ theme in RA 11293
	10: The solution is strongly aligned with any of the 10 priority areas of the National Innovation Agenda Framework.	8: The solution is aligned with any of the 10 priority areas of the National Innovation Agenda Framework.	5: The solution is somewhat aligned with any of the 10 priority areas of the National Innovation Agenda Framework.	3: The solution is not aligned with any of the 10 priority areas of the National Innovation Agenda
Service-Orientation/ Responsiveness (10 points)	10: The value proposition is clearly specified. The proposed solution resonates with the constituency's relevant needs, paired with the right channels to establish and maintain trust and good relationships.	8: The value proposition is specified and resonates with the constituency's relevant needs, paired with the right channels to establish trust and good relationships.	5: The value proposition is somewhat specified and the constituency's relevant needs are identified	3: Value proposition is unclear and the constituency's needs are not identified.

<p align="center">Sound Implementation Plan (10 points)</p>	<p>10: Provided an in-depth discussion on the viability and sustainability of the proposal and activities with analysis derived from existing data, emerging trends, and/or macroeconomic forces.</p>	<p>8: Provides a discussion on the viability and sustainability of the proposal and activities with analysis derived from existing data, emerging trends, and/or macroeconomic forces.</p>	<p>5: Provides a discussion on the viability of the proposal and activities.</p>	<p>3: Viability of the proposal is not sufficiently elaborated.</p>
<p align="center">Socioeconomic and Environmental Impact (10 points)</p>	<p>10: Positive outcomes that directly or indirectly benefit the poorest of the poor are strongly defined and supported by projections and key metrics.</p>	<p>7: Positive outcomes that directly or indirectly benefit the poorest of the poor are defined and supported by projections and data.</p>	<p>3: Positive outcomes that directly or indirectly benefit the poorest of the poor are defined.</p>	<p>0: Positive outcomes that do not benefit the poorest of the poor or any priority sector.</p>
<p align="center">TOTAL</p>	<p align="center">60 points</p>			