

Republic of the Philippines Department of Environment and Natural Resources Visayas Avenue, Diliman, Quezon City 1100

Trunkline: (+632) 929-6626 / 929-6635 / 929-4028 / 929-4028 E-mail: web@denr.gov.ph / Website: http://www.denr.gov.ph

MEMORANDUM

FOR

The Regional Executive Directors

DENR-CAR, DENR-I, DENR-III, DENR-VI, DENR-XII

FROM

The OIC, Director

Policy and Planning Service

SUBJECT

NATIONAL CONVERGENCE INITIATIVE FOR SUSTAINABLE

RURAL DEVELOPMENT (NCI-SRD) ROADMAP FY 2023-2027

DATE

2 9 AUG 2023.

This is in reference to the request letter by the Department of Agriculture (DA) Undersecretary Engr. Zamzamin L. Ampatuan dated 14 August 2023 to DENR Undersecretary Jonas R. Leones concerning the upcoming National Convergence Initiative for Sustainable Rural Development (NCI-SRD) Roadmap FY 2023-2027 Writeshop: Final Drafting on September 5-8, 2023 to be held at the Department of Agriculture-Agricultural Training Institute (DA-ATI) SOCCSKSARGEN Regional Training Center, Tantangan, South Cotabato, with the primary objective of formulating the final draft of the NCI-SRD Roadmap 2023-2027 encompassing the strategic thrusts, directions and targets of the NCI-SRD working group at the national and regional level.

In line with this, the NCI-SRD is requesting one (1) participant from your respective Regional Convergence Initiative Technical Working Group (RCI-TWG). Participants must register through the online link https://bit.ly/ConfirmRoadmapFin on or before Thursday, 31 August 2023.

In addition, each RCI-TWG was requested to prepare their respective Strength-Weakness-Opportunities-Threats (SWOT) Analysis based on FY 2018-2022 activities and accomplishments, using the prescribed format. The accomplished matrix on SWOT Analysis must be submitted through e-mail at ncisrd@da.gov.ph cc: ppdppso@yahoo.com on or before Wednesday, 30 August 2023.

Attached is the request letter containing the program of activities and all other relevant information regarding the NCI-SRD Roadmap Writeshop.

For your consideration, please.

CHERYL LOISE T. LEAL, EnP.

MEMO NO. 2023 - 731

#SaveManilaBay



Republic of the Philippines DA - DAR - DENR - DILG

National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

LETTER

Reference Code: 🗸	NCI-SRD_FR_029_2
Reference No.:	1KN · S PD - & 2023 -
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14 August 2023

ATTY. JONAS R. LEONES, CESO I

Undersecretary for Policy, Planning and International Affairs Department of Environment and Natural Resources (DENR) Visayas Avenue, Diliman, Quezon City

Dear Undersecretary Leones:

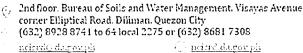
The NCI-SRD shall be conducting the NCI-SRD Roadmap FY 2023-2027 Writeshop: Final Drafting on September 5-8, 2023 at the DA-Agricultural Training Institute (ATI) SOCCSKSARGEN Regional Training Center, Tantangan, South Cotabato. This writeshop is designed to formulate the final draft of the NCI-SRD Roadmap FY 2023-2027 which contains the strategic thrusts, directions, and targets of the NCI-SRD working groups at the national and regional level. Attached is the Provisional Program for your reference.

In this regard, we would like to request the facilitation of physical attendance of the Policy and Advocacy Component Working Group (PA CWG) Chairperson Cheryl Loise T. Leal, other members of the PA CWG, and identified DENR Regional Convergence Initiative Technical Working Groups (RCI TWG):

National Level	
PA CWG Chairperson Cheryl Loise Leal and/or Permanent Alternate	2
PA CWG Member	
Biodiversity Management Bureau (BMB)	1
2. Forest Management Bureau (FMB)	1
Regional Level	
DENR RCI TWG	4
1. CAR	
2. Ilocos	
3. Central Luzon	
4. Western Visayas	
Total	8

The activity proper shall run for three and a half (3 1/2) days. All participants must be guided of the following:

- 1. The presence of at least one DENR RCI TWG member from SOCCSKSARGEN is requested to assist other DENR RCI TWG participants, specifically on the provision of transportation for DENR participants.
- 2. To facilitate a productive discussion in reviewing and revising the draft Roadmap, all participants must bring their own laptop.
- 3. Each RCI TWG must prepare its SWOT analysis for FY 2018-2022 prior to the writeshop. The SWOT Analysis shall be analyzed horizontally, thus the SWOT for each shall be interrelated to each other. A maximum of 3 identified SWOT is allowed to only cater to major factors in each RCI TWG. Moreover, please take note that the Strengths and Weaknesses are internal to the RCI TWG and that the Opportunities and Threats are external to the RCI TWG.











Republic of the Philippines DA – DAR – DENR – DILG

National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

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The accomplished template must be submitted on or before Wednesday, August 30, 2023. Inputs shall be consolidated by the National Secretariat in one table. Attached is a template for their reference.



Participants are required to confirm their participation through our online link https://bit.ly/ConfirmRoadmapFin on or before Thursday, August 31, 2023. Kindly be advised that the accommodation is still being finalized and the National Secretariat will provide updates to confirmed participants.

The National Secretariat shall ensure that the food, accommodation, and venue are compliant with applicable health and safety standards. However, as part of cost-sharing arrangements as a convergence initiative, transportation shall be shouldered by each respective office/agency.

For further clarifications, your staff may contact Ms. Marineh Ambrosio of the National Secretariat at (632) 8928 8741 local 2275 or (632) 8681 7308, or email us at ncisrd@da.gov.ph

Thank you and we look forward to your continued support for the NCI-SRD.

Yours truly,

ENGR. ZAMZAMIN L. AMPATUAN, CESO I

DA Undersecretary for Special Concerns and Infrastructure and

DA Permanent Alternate Representative, National Steering Committee (NSC) NCV











Republic of the Philippines DA - DAR - DENR - DILG National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

PROGRAM OF ACTIVITIES

Reference Code:	NCI-SRD_FR_006_2
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NCI-SRD Roadmap FY 2023-2028 Writeshop: Final Drafting **September 5-8, 2023** DA-Agricultural Training Institute (ATI) SOCCSKSARGEN Regional Training Center **Tantangan, South Cotabato**

Day 1, Tuesday, 5 Septe	mber 2023
8:00 am - 12:00 nn	Arrival and Billeting of Participants
12:00 nn - 1:00 pm	Lunch
Opening Ceremonies	
1:00 pm - 1:15 pm	Invocation and Philippine National Anthem
2.20 pm	National Secretariat
1:15 pm - 1:30 pm	Welcome Remarks
	JOHN B. PASCUAL, DVM
	Regional Technical Director, DA-RFO SOCCSKSARGEN and RCI TWG
	Chairperson, Regional Convergence Initiative Technical Working Group
	(RCI TWG) SOCCSKSARGEN
1:30 pm - 1:45 pm	Photo Opportunity
1:45 pm - 2:00 pm	Presentation of House Rules
	NCI-SRD Secretariat
2:00 pm – 2:15 pm	Rationale, Leveling of Expectations and Mechanics
	NCI-SRD Secretariat
2:15 pm - 2:30 pm	Introduction to the NCI-SRD Roadmap
	NCI-SRD Secretariat
2:30 pm - 5:00 pm	Frameworks: Conceptual, Policy, Strategy
	Plenary Session
Day 2, Wednesday, 6 Se	
8:00 am - 8:30 am	Registration of Participants
8:30 am - 8:45 am	Energizer
8:45 am - 9:00 am	Recap of Day 1
	NCI-SRD National Secretariat
9:00 am - 10:00 am	Operational and Implementation Framework
10.00	Plenary Session
10:00 am - 12:00 nn	Past Performance 2018-2022 (Highlights and CWG Accomplishments)
12.00 1.00	Plenary Session Lunch
12:00 nn – 1:00 pm	
1:00 pm - 1:15 pm	Icebreaker
1:15 pm – 3:00 pm	PESTLE Analysis for the NCI-SRD
2.00	Plenary Session
3:00 pm - 5:00 pm	SWOT Analysis of the NSC/NTWG/RCI TWG Plenary Session
Day 3, Thursday, 7 Sept	
8:00 am - 8:30 am	Registration of Participants
8:30 am - 8:45 am	• • • • • • • • • • • • • • • • • • • •
	Energizer
8:45 am - 9:00 am	Recap of Day 2



Republic of the Philippines DA - DAR - DENR - DILG National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

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	NCI-SRD National Secretariat
9:00 am - 10:00 am	Vision-Mission
	Plenary Session
10:00 am - 12:00 nn	NSC/NTWG/RCI TWG Strategic Thrusts and Directions
	Plenary Session
12:00 nn - 1:00 pm	Lunch
1:00 pm - 1:15 pm	Icebreaker
1:15 pm - 5:30 pm	NSC/NTWG/RCI TWG Strategic Plans and Physical Targets
	Plenary Session
Day 4, Friday, 8 Septem	her 2023
8:00 am - 8:30 am	Registration of Participants
8:30 am - 8:45 am	Energizer
8:45 am - 9:00 am	Recap of Day 3
	NCI-SRD National Secretariat
9:00 am - 9:30 am	Ways Forward
Closing Ceremonies	
9:30 am - 10:00 am	Closing Remarks
	CHERYL LOISE T. LEAL
	Director, DENR-Policy and Planning Service and
	Chairperson, NCI-SRD Policy and Advocacy Component Working Group
	(PA CWG)
10:00 am - 11:00 am	Accomplishment of Activity Feedback Form
11:00 am - 12:00 nn	Distribution of Certificates
12:00 nn onwards	Departure



Republic of the Philippines DA - DAR - DENR - DILG

National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

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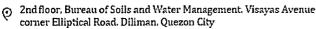
Regional Convergence Initiative Technical Working Group (RCI TWG) **SWOT Analysis**

A SWOT (Strengths, Weaknesses, Opportunities, and Threats) analysis is used in identifying external (OT) and internal (SW) factors which may affect the RCI TWG in achieving its objectives.

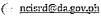
Each RCI TWG must prepare its SWOT analysis based on FY 2018-2022 activities and accomplishments. The SWOT Analysis shall be analyzed horizontally, with each statement in a row being interrelated. Only a maximum of 3 identified SWOT is allowed to cater to major factors for each RCI TWG.

The accomplished template must be submitted through email on or before Wednesday, August 30, 2023 and shall be consolidated by the National Secretariat for further discussion during the writeshop for the NCI-SRD Roadmap.

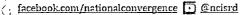
Strengths	Weaknesses	Opportunities	Threats
1.	1.	1.	1.
2.	2.	2.	2.
3.	3.	3.	3.















BRIEFING NOTE

BRIEFING NOTE		
Brief Information		
Event:	NCI-SRD ROADMAP FY 2023-2027 WRITESHOP: FINAL DRAFTING	
Date/Time/Venue:	September 5 – 8, 2023 8:00 AM – 5:00 PM DA-ATI Training Institute, Tantangan, South Cotabato	
Meeting Attendees:	Department of Environment and Natural Resources 1. PA CWG Members (PPS, FMB, BMB) 2. RCI-TWG (Regions CAR, I, III, VI, XII)	
	Representative/s from: 1. Department of Agriculture (DA) 2. Department of Agrarian Reform (DAR) 3. Department of Interior and Local Government (DILG)	
	National Secretariat member	
Objectives of the Meeting	 Identify the strategic thrusts, directions, plans, and targets of the RCI-TWG for FY 2023-2028; and To formulate the final draft of the NCI-SRD Roadmap FY 2023-2027 which contains the strategic thrusts, directions, and targets of the NCI-SRD working groups at the national and regional level. 	
NCI-SRD Overview	National Convergence Initiative for Sustainable Rural Development (NCI-SRD) The NCI-SRD is a multi-sectoral, integrated planning approach and complementation strategy adopted by the Departments of Agriculture (DA), Agrarian Reform (DAR), Environment and Natural Resources (DENR) and the Interior and Local Government (DILG) towards more efficient use of resources in the delivery of rural development services. The NCI-SRD was formulated as a common framework for sustainable rural development and poverty reduction through the issuance of Joint Memorandum Circular (JMC) No. 01, Series of 1999. The implementation framework for this convergence initiative was further strengthened through the issuance of DA-DAR-DENR-DILG Joint Administrative Order (JAO) No. 1, Series of 2015 which provided for the functions of the National Steering Committee (NSC) as the policy-making body of the NCI-SRD. The commitment of the four agencies to the implementation of the NCI-SRD strategy was further renewed with the issuance of DA-DAR-DENR-DILG JAO No. 1, s. 2020. Convergence Strategy: Convergence Area Development Plan (CADP) — Thirty-six (36) Convergence Areas: Twenty (20) with CADP and sixteen (16) pending CADP	

- The NCI-SRD is led by a National Steering Committee (NSC).

National Steering Committee (NSC)

- The NSC is composed of the Secretaries of DA, DAR, DENR, and/ or their Permanent Alternate Undersecretaries, with the DA as the Lead Convenor. As for DENR, the Undersecretary for Policy, Planning, and International Affairs serves as the permanent alternate to the NSC.
- The NSC is the policy-making body that provides overall policy directions for the implementation of the programs/ activities/ projects (P/A/Ps) under the NCI-SRD. It also reviews the fulfillment of commitments of the respective agencies relative to the implementation of the NCI-SRD.
- The NSC approves the implementation and coordination structure of the NCI-SRD, including the membership to the NTWG and the four (4) CWGs through the issuance of a Special Order (SO), approves/ratify policy instruments and PAPs pertaining to the operation of the NCI-SRD and allocate and approve resources to carry out the PAPs of the NCI-SRD, including third-party impact assessment of the convergence areas.

National Steering Committee (NSC)

- The NSC is composed of the Secretaries of DA, DAR, DENR, and/ or their Permanent Alternate Undersecretaries, with the DA as the Lead Convenor. As for DENR, the Undersecretary for Policy, Planning, and International Affairs serves as the permanent alternate to the NSC.
- The NSC is the policy-making body that provides overall policy directions for the implementation of the programs/ activities/ projects (P/A/Ps) under the NCI-SRD. It also reviews the fulfillment of commitments of the respective agencies relative to the implementation of the NCI-SRD.
- The NSC approves the implementation and coordination structure of the NCI-SRD, including the membership to the NTWG and the four (4) CWGs through the issuance of a Special Order (SO), approves/ratify policy instruments and PAPs pertaining to the operation of the NCI-SRD and allocate and approve resources to carry out the PAPs of the NCI-SRD, including third-party impact assessment of the convergence areas.

National Technical Working Group (NTWG)

- The NTWG is composed of the Chairpersons of the four (4) CWGs of the NCI-SRD, namely:
 - a) Policy and Advocacy (PA);
 - b) Agriculture, Forestry, and Fisheries (AFF)-Enterprise Cluster and Business Development (AFFECBD)
 - c) Capacity Development (CD); and
 - d) Knowledge Management (KM).

 The NTWG serves as the advisory and recommendatory body to the NSC on matters related to the implementation of the NCI-SRD.

Component Working Groups

Policy Advocacy (PA CWG)

- Based on NCI-SRD Joint Resolution No. 15, series of 2020: The PPS Director was elected by the PA-CWG members as the Chairperson for FY 2021 to 2023 (term of 3 years).
- The PA-CWG is mainly responsible for the
 - review and audit of conflicting and overlapping policies that hamper the implementation of the programs and projects of the four NCI-SRD agencies across all ecosystems.
 - recommends and drafts relevant policy instruments that shall harmonize or improve existing policies.
 - identify issues that require legislation and coordinate with the appropriate offices, agencies, and stakeholder groups involved in advocating for the respective legislation

Agriculture, Forestry, and Fisheries (AFF)-Enterprise Cluster and Business Development (AFFECBD)

- development, implementation, monitoring and evaluation of AFF-enterprise cluster and business within the convergence areas, which shall cover all ecosystems

Capacity Development (CD)

 development, implementation, and monitoring and evaluation of the NCI-SRD national capacity development plan, which shall take into consideration all ecosystems organize and manage all NCI-SRD learning and development (L&D) activities

Knowledge Management (KM CWG)

 development and management of an information system for the NCI-SRD areas of concern: ICT, RBME and IECM materials

Regional Convergence Initiative (RCI-TWG)

- Provide technical assistance in the development of the CADPs proposed by the LGUs
- Conduct technical review and validation of the CADPs proposed by the LGUs and endorse CADPs to the NTWG
- Supervise the implementation of the PAPs under the approved CADP and the NCI-SRD, as may be applicable
- Monitor and evaluate the progress and result of implementation of the CADPs and other PAPs of the NCI-SRD within the region

Facilitate the resolution of issues and concerns relating to the NCI-SRD operations in the region Elevate issues on conflicting and overlapping policies relating to the NCI-SRD to the NTWG Prepare project proposals to support proposed funding of NCI-SRD related PAPs Allocate and approve resources to fund for the NCI-SRD **PAPs** Background of 27 July 2018: NCI-SRD Joint Resolution No. 11, series of 2018 Roadmap (Approving the NCI-SRD Roadmap FY 2018-2030) The ANSC, composed of DA-DAR-DENR-DILG Undersecretaries or their permanent representatives, agreed to approve the NCI-SRD Roadmap which covers the strategic thrusts and directions of the NCI-SRD. 16-18 March 2022: NCI-SRD FY 2023-2027 Strategic Planning Writeshop: Initial Drafting Writeshop The members of the Regional Convergence Initiative Technical Working Groups (RCI TWG) were capacitated on strategic planning with regard to the NCI-SRD Roadmap. 02 December 2022: Resolution No. 5, series of 2022 The resolution was issued to direct the National Technical Working Group (NTWG) to Update the NCI-SRD FY 2018-2030 Roadmap and Draft the Medium Term Strategic Plans. 5-7 December 2022: NCI-SRD FY 2023-2027 Strategic Planning Writeshop: Finalization Writeshop The component working group (CWG) members drafted the strategic thrusts, directions, plans, and physical targets of their respective CWG for the NCI-SRD Roadmap covering the period of 2023 to 2027. 2-4 May 2023: NCI-SRD Roadmap 2023-2028 Writeshop: Initial Drafting The Initial Drafting Writeshop was held on May 2-4, 2023 in Pampanga and produced an initial draft of the Roadmap. **ANNEXES** A. Letter from DA Undersecretary Zamzamin L. Ampatuan B. Program of Activities C. Template for RCI TWG SWOT Analysis D. DA-DAR-DENR-DILG JAO No. 1, s. 2020 E. DA-DAR-DENR-DILG JMO No. 2, s. 2022

-END-



Republic of the Philippines DA - DAR - DENR - DILG

National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

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14 August 2023

ATTY. JONAS R. LEONES, CESO I

Undersecretary for Policy, Planning and International Affairs Department of Environment and Natural Resources (DENR) Visayas Avenue, Diliman, Quezon City

Dear Undersecretary Leones:

The NCI-SRD shall be conducting the NCI-SRD Roadmap FY 2023-2027 Writeshop: Final Drafting on September 5-8, 2023 at the DA-Agricultural Training Institute (ATI) SOCCSKSARGEN Regional Training Center, Tantangan, South Cotabato. This writeshop is designed to formulate the final draft of the NCI-SRD Roadmap FY 2023-2027 which contains the strategic thrusts, directions, and targets of the NCI-SRD working groups at the national and regional level. Attached is the Provisional Program for your reference.

In this regard, we would like to request the facilitation of physical attendance of the Policy and Advocacy Component Working Group (PA CWG) Chairperson Cheryl Loise T. Leal, other members of the PA CWG, and identified DENR Regional Convergence Initiative Technical Working Groups (RCI TWG):

National Level	
PA CWG Chairperson Cheryl Loise Leal and/or Permanent Alternate	2
PA CWG Member	
1. Biodiversity Management Bureau (BMB)	1
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Total	8

The activity proper shall run for three and a half (3 1/2) days. All participants must be guided of the following:

- 1. The presence of at least one DENR RCI TWG member from SOCCSKSARGEN is requested to assist other DENR RCI TWG participants, specifically on the provision of transportation for DENR participants.
- 2. To facilitate a productive discussion in reviewing and revising the draft Roadmap, all participants must bring their own laptop.
- 3. Each RCI TWG must prepare its SWOT analysis for FY 2018-2022 prior to the writeshop. The SWOT Analysis shall be analyzed horizontally, thus the SWOT for each shall be interrelated to each other. A maximum of 3 identified SWOT is allowed to only cater to major factors in each RCI TWG. Moreover, please take note that the Strengths and Weaknesses are internal to the RCI TWG and that the Opportunities and Threats are external to the RCI TWG.

ncisrd@da.gov.ph

ncisrd.da.gov.ph

🕝 facebook.com/nationalconvergence 🖸 @ncisrd





²nd floor, Bureau of Soils and Water Management, Visayas Avenue corner Elliptical Road. Diliman. Quezon City

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Thank you and we look forward to your continued support for the NCI-SRD.

Yours truly,

ENGR. ZAMZAMIN L. AMPATUAN, CESO I

DA Undersecretary for Special Concerns and Infrastructure and

DA Permanent Alternate Representative, National Steering Committee (NSC) NCI/SRI

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Republic of the Philippines DA - DAR - DENR - DILG National Convergence

National Convergence Initiative for Sustainable Rural Development (NCI-SRD)

PROGRAM OF ACTIVITIES

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NCI-SRD Roadmap FY 2023-2028 Writeshop: Final Drafting September 5-8, 2023 DA-Agricultural Training Institute (ATI) SOCCSKSARGEN Regional Training Center Tantangan, South Cotabato

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	CHERYL LOISE T. LEAL
	Director, DENR-Policy and Planning Service and
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Regional Convergence Initiative Technical Working Group (RCI TWG) **SWOT Analysis**

A SWOT (Strengths, Weaknesses, Opportunities, and Threats) analysis is used in identifying external (OT) and internal (SW) factors which may affect the RCI TWG in achieving its objectives.

Each RCI TWG must prepare its SWOT analysis based on FY 2018-2022 activities and accomplishments. The SWOT Analysis shall be analyzed horizontally, with each statement in a row being interrelated. Only a maximum of 3 identified SWOT is allowed to cater to major factors for each RCI TWG.

The accomplished template must be submitted through email on or before Wednesday, August 30, 2023 and shall be consolidated by the National Secretariat for further discussion during the writeshop for the NCI-SRD Roadmap.

Region:		
ALCHIOLI.		 _

Strengths	Weaknesses	Opportunities	Threats
1.	1.	1.	1.
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2.	2.	2.	2.
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3.	3.	3.	3.

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Republic of the Philippines DA - DAR - DENR - DILG NATIONAL CONVERGENCE INITIATIVE FOR SUSTAINABLE RURAL EVELOPMENT

(NCI-SRD)

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DA-DAR-DENR-DILG JOINT ADMINISTRATIVE ORDER (JAO) NO. OI Series of 2020

Subject

Revised DA-DAR-DENR-DILG Joint Administrative Order No. 01 Series of 2015 (Strengthening the Implementation Framework for the DA-DAR-DENR-DILG National Convergence Initiative for Sustainable Rural Development)

PREFATORY STATEMENT

Pursuant to Executive Order No. 5, Series of 2016 mandating the adoption of "AmBisyon Natin 2040" as a guide for development planning in the country and the Philippine Development Plan (PDP) 2017-2022 directing the government to complement strategic efforts with environmental and governance strategies through the national convergence initiatives using the ridge-to-reef approach and the sustainable integrated area development (SIAD) for the sustainable management of natural resources and rural development, the DA-DAR-DENR-DILG Joint Administrative Order No. 01, Series of 2015 is hereby revised.

To achieve the goals of contributing to inclusive growth and poverty reduction, activities of the National Convergence Initiative for Sustainable Rural Development (NCI-SRD) shall contribute to the following targeted outcomes as prescribed in Chapters 8 (Expanding Economic Opportunities in Agriculture, Forestry, and Fisheries) and 20 (Ensuring Ecological Integrity, Clean and Healthy Environment) of the PDP 2017-2022:

- 1. Economic opportunities in Agriculture, Forestry and Fisheries (AFF) expanded;
- 2. Access to economic opportunities increased;
- 3. Biodiversity and functioning of ecosystem services sustained;
- 4. Environmental quality improved; and
- 5. Adaptive capacities and resilience of ecosystems increased.

To operationalize these targeted outcomes, the NCI-SRD Roadmap (2018-2030) issued in 2018 laid down the conceptual, policy, and operational frameworks of

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the organization. It also spelled out the organization's vision, mission, strategic thrusts/directions, and strategic plans and targets for FY 2018-2022.

SECTION 1. Scope and Coverage

This Order shall cover the mechanisms and operationalization of the NCI-SRD strategy from the national to the municipal/city levels where the NCI-SRD strategy is implemented.

SECTION 2. Guiding Principles

- 2.1 Convergence Initiative is a response to the fragmented delivery of rural development services towards improved governance and optimized use of resources.
- 2.2. Convergence Initiative is a strategy to strengthen the Local Government Units (LGUs) to grow sustainable local economies.
- 2.3 The ridge-to-reef approach shall be the tool for the interventions in the convergence areas.
- 2.4 In response to the poverty reduction goal of the government, the Convergence Initiative shall have a defined constituency. Interventions shall focus on the smallholder farmers (both agrarian reform beneficiaries or ARBs and non-ARBs), small fisherfolk, upland dwellers, and Indigenous Peoples (IPs).
- 2.5 The implementation of the Convergence Initiative shall be guided by the following:
 - a. The Convergence Initiative shall be treated as a complementation strategy between and among the NCI-SRD agencies (DA-DAR-DENR-DILG). It shall encourage the assistance of other national line agencies, state universities and colleges (SUCs), government-owned and controlled corporations (GOCCs), donor agencies, and international and local non-governmental organizations (NGOs), people's organizations (POs), IPs, and other relevant stakeholders.
 - b. The primacy of the LGUs as the integrating and converging force for sustainable rural development at the local level shall be strengthened.
 - c. The participatory approach shall be adopted in all phases of development: planning and budgeting, implementation, monitoring and evaluation, reporting, and knowledge sharing.
 - d. Complementation of resources and expertise of the concerned agencies and LGUs shall be considered in the implementation of the Convergence Initiative.
 - e. Private sector investment shall be encouraged in convergence areas.

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SECTION 3. Objectives

The JAO shall provide the principles, strategic thrusts and directions, implementing mechanisms and organizational structure, funding, and system of monitoring and evaluation of the NCI-SRD.

SECTION 4. Strategic Thrusts and Directions

The NCI-SRD Roadmap stipulated the following strategic thrusts and directions of the NCI-SRD for 2018-2030, which will contribute to the AFF and Environment and Natural Resources (ENR) sector targeted outcomes:

- 4.1 Establish at least one (1) convergence area per region;
- 4.2 Focus convergence strategies in the 143 critical watersheds (of which 18 river basins are identified), as may be applicable;
- 4.3 Promote clean air, water, and waste management to improve environmental quality in convergence areas;
- 4.4 Promote sustainable soil and land management to address land degradation for watershed rehabilitation and recovery in convergence areas:
- 4.5 Promote biodiversity conservation of flora and fauna in convergence areas:
- 4.6 Strengthen the Regional Convergence Initiative (RCI) TWG, Provincial Convergence Initiative (PCI) TWG, and Municipal/City Convergence Initiative (MCI/CCI) TWG;
- 4.7 Strengthen the joint planning, budgeting, implementation, monitoring and evaluation, reporting, and knowledge sharing;
- 4.8 Strengthen the NCI-SRD Secretariat manpower complement;
- 4.9 Implement the NCI-SRD Joint Resolution No. 02 Series of 2018 (Designation of the Master in Public Management major in Rural Development [MPM-RD] Scholarship Program Graduates as Members of the National and Regional Technical Working Groups of the NCI-SRD);
- 4.10 Include NCI-SRD activities in the Individual Performance Commitment and Review (IPCR)/Division PCR (DPCR)/Office PCR (OPCR) of NCI-SRD members;
- 4.11 Harmonize/streamline existing policies of the four (4) NCI-SRD agencies;
- 4.12 Develop AFF-enterprise cluster and business within the selected convergence areas, using the Value Chain Approach (VCA) and consistent with Provincial Commodity Investment Plans (PCIPs);
- 4.13 Implement and update as may be necessary, the national capacity development plan to cover all relevant stakeholders;
- 4.14 Develop relevant NCI-SRD manuals;

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- 4.15 Develop a Knowledge Management (KM) system for the national, regional, provincial, and municipal/city convergence initiatives;
- 4.16 Enhance and implement the NCI-SRD strategic communication plan;
- 4.17 Promote maintenance and protection of existing forests as well as sustained rehabilitation of denuded forest lands to increase forest cover in convergence areas;
- 4.18 Protect mangroves, sea grass beds and coral reefs guided by a list of human interventions that have negative impacts in the watershed and upland ecosystem within the convergence areas; and
- 4.19 Develop a rehabilitation plan for marine habitats affected by human interventions in the watershed and upland ecosystem within the convergence areas.

SECTION 5. Definition of Terms

For purposes of this JAO, the following terms shall be defined:

- 5.1 Agribusiness agriculture and fishery-based activities that put farmers, processors, distributors, consumers, and other players within a system that produces, processes, transports, markets, and distributes agricultural and fishery products using appropriate technologies and applications.
- 5.2 Agrarian Reform Beneficiaries (ARBs) landless farmers and regular farm workers, irrespective of tenurial arrangement, who were awarded lands as evidenced by an Emancipation Patent (EP) or a Certificate of Land Ownership Award (CLOA) under Presidential Decree (PD) No. 27 or the Republic Act (RA) No. 6657, as amended, otherwise known as the "Comprehensive Agrarian Reform Law of 1988." ARBs also include the leaseholders to the landowners' retained areas who represent the ARB group which are not awarded with lands but whose tenurial rights have been strengthened through the registration of leasehold contracts.
- 5.3 Agriculture, Forestry, and Fisheries (AFF)-enterprise business venture, typically micro-, small-, and medium-scale, that can be undertaken either on- or off-farm or a service that can be used to support other businesses. It operates in a defined territory which may be a barangay (village), municipality or group of municipalities.
- 5.4 Capacity Development (CD) process through which individuals, organization, and societies obtain, strengthen, and enhance competencies to set and achieve their own development objectives over time.
- 5.5 Civil Society Organization (CSO) non-state and non-profit association that works to improve society and human conditions. Basic types of CSOs include NGOs, POs, cooperatives, social movements, professional and business groups.

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- 5.6 Convergence integration, complementation, harmonization and optimization of institutional, technical, financial, and human resources.
- 5.7 Convergence Area physical area where the NCI-SRD is implemented, which is identified based on a selection criteria, supported by a Convergence Area Development Plan (CADP), proposed by the LGU, and approved by the NSC.
- 5.8 Convergence Area Development Plan (CADP) comprehensive plan that contains the strategies, programs, activities, and projects that will promote holistic and sustainable management of all resources within the convergence area.
- 5.9 Convergence Initiative complementation of efforts and resources between and among the DA, DAR, DENR, DILG, LGUs and relevant stakeholders to promote and harmonize the delivery of AFF and rural development services towards sustainable rural development.
- 5.10 Ecosystem community of living organisms interacting with each other and with their physical environment.
- 5.11 Information, Education, Communication and Motivational (IECM) approaches to combine information, education, communication, and motivation into products that could be transmitted over communication channels or multi-media in order to interest or capture targeted clients.
- 5.12 Inclusive Growth growth that is rapid enough to matter, given the country's large population, geographical differences, and social complexity. It is sustained growth that creates jobs, draws the vast majority into the economic and social mainstream, and continuously reduces mass poverty.
- 5.13 Information and Communication Technology (ICT) totality of electronic means to access, create, collect, store, process, receive, transmit, present, and disseminate information.
- 5.14 Indigenous People (IP) group of people or homogenous societies identified by self-ascription and ascription by other, who have continuously lived as organized community on communally bounded and defined territory, and who have, under claims of ownership since time immemorial, occupied, possessed customs, tradition and other distinctive cultural traits, or who have, through resistance to political, social and cultural inroads of colonization, non-indigenous religions and culture, became historically differentiated from the majority of Filipinos.
- 5.15 Key Production Area (KPA) priority area, which include among others Agrarian Reform Areas (ARAs), whose agro-climatic and market conditions favor the production, processing, and marketing of specific AFF products.
- 5.16 Knowledge Management (KM) range of strategies and practices used so that pertinent data and information is gathered, processed, disseminated, shared, and utilized to enable adoption of insights and experiences and to

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- ensure that NCI-SRD programs, activities, and projects are designed, implemented, and monitored in an efficient and effective manner.
- 5.17 LGU alliances- inter-local cooperation formed through appropriate ordinances for the purpose of consolidation or coordination of efforts, services, and resources commonly beneficial to them.
- 5.18 Participatory Approach systematic involvement of communities in various aspects and stages of decision-making pertaining to government programs, projects, and other interventions.
- 5.19 People's Organization (PO) grassroots organization legally registered or accredited whose membership is composed of smallholder farmers, small fisherfolk, industrial laborers, women, youth, and others, which are formed at the local, regional and national level. It also includes cooperatives, associations, etc.
- 5.20 Provincial Commodities Investment Plan (PCIP) strategic plan that rationalizes the interventions with the various segments of the value chain of priority commodity/ies that are of significance to the province and that will contribute to the national goals of the agriculture and fishery sector. It is a three (3)-year rolling consensus plan between DA and the LGU based on the value chain analyses of these commodities, conducted with strong participation of the various stakeholders.
- 5.21 Results-Based Monitoring and Evaluation (RBME) System management tool that aims to keep track of the progress and end result of the project implementation within the convergence areas.
- 5.22 Ridge-to-Reef Approach holistic, collaborative, multiple use and sustainable management of all resources within the watershed.
- 5.23 Rural Development strategy to enable a specific group of people, poor rural women and men, to gain for themselves and their children more of what they want and need. It involves helping the poorest among those who seek a livelihood in the rural areas to demand and control more of the benefits of rural development. The group includes smallholder farmers, small fisherfolk, tenants, and the landless.
- 5.24 Small Fisherfolk people directly or personally and physically engaged in taking and or culturing and processing fishery and/or aquatic resources.
- 5.25 Smallholder Farmers marginal and sub-marginal farm households that own or/and cultivate not more than 3.0 hectares of land.
- 5.26 Sustainable Integrated Area Development (SIAD) strategy that takes into account area-based interventions, concepts on integrated island development, and has people and integrity of nature at its core. This is grounded on the basic principles of the Philippine Agenda 21, specifically on the integration of the various overarching dimensions and components of sustainable development and the need for participation of government,

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business and civil society as provided for under Executive Order No. 15, s. 1992 and Executive Order No. 370, s. 1996 (Draft DENR Guidelines).

- 5.27 Sustainable Rural Development (SRD) improving the quality of life for the rural poor by developing capacities that promote community participation, health and education, food security, environmental protection, and sustainable economic growth, thereby enabling community members to leave the cycle of poverty and achieve their full potential for the present and future generations.
- 5.28 Watershed land area drained by a stream or fixed body of water and its tributaries having a common outlet for surface run-off.

SECTION 6. Operationalization of the NCI-SRD Strategy through the Convergence Areas

The NCI-SRD strategy shall be operationalized in the identified convergence areas, where complementation of efforts and concerted and coordinated interventions from NCI-SRD agencies and other relevant stakeholders interplay to achieve optimum results.

6.1 Criteria for Selection of Convergence Areas

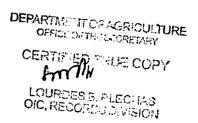
The identification and selection of convergence areas shall conform to the ridgeto-reef approach based on the following must and prioritization criteria, which shall be reviewed periodically:

6.1.1 Must Criteria

- a. Completeness of ecosystem. The convergence areas should cut across the various ecosystems or should be a combination of at least two (2) ecosystems.
- Passer of Good Financial Housekeeping. This is a proof that the LGU has good governance performance in internal housekeeping, particularly in sound fiscal management.

6.1.2 Prioritization Criteria

a. Presence of KPAs with potential for increased productivity and income. The convergence areas should have tracts of land identified for agro-forestry and fisheries development for major production or with potential for expansion/ scaling up and/or connection to allied industries/ services for backward and forward integration.



- b. Combination of areas across major poverty groups. The convergence areas should cover a significant number of smallholder farmers/agricultural workers including actual and potential ARBs, small fisherfolk, IPs, upland dwellers, and rural women.
- c. Involvement of local government leaders of LGUs/LGU alliances. The convergence area should have LGUs/LGU alliances whose Local Chief Executives (LCEs) and Sanggunian and are willing to support the Convergence Initiative.
- d. Presence of relevant stakeholders/CSOs. The convergence areas should have active CSOs that are willing to support the Convergence Initiative and be a conduit of interventions in the convergence area. Presence of private/business and academic/research institutions that are willing to partner within the convergence areas should be an advantage.

SECTION 7. Implementing Mechanisms and Organizational Structure

In order to effectively implement the NCI-SRD strategy, multi-agency TWGs shall be created at the national, regional, and local levels. PCI and/or MCI/CCI TWGs shall be created where there is/are convergence area/s. These TWGs shall be formalized through issuance of relevant Special Orders (SOs) or similar orders signed and approved by principals in the respective levels.

7.1 National Level

7.1.1 National Steering Committee (NSC)

The NSC shall act as the policy-making body of the NCI-SRD. It shall have the following functions:

- Provide overall policy directions for the implementation of programs/activities/ projects (PAPs) under the NCI-SRD;
- 2. Review the fulfillment of commitments of the respective agencies relative to the implementation of the NCI-SRD;
- 3. Appoint or designate the National Focal Person (NFP) with a rank of Assistant Secretary, a Deputy National Focal Person (DNFP) with a rank of Director IV as the permanent alternate representative of the NFP, and a Head National Secretariat. The NFP, DNFP, and Head National Secretariat shall come from the DA as the lead agency, for efficiency purposes;

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- 4. Approve the implementation and coordination structure of the NCI-SRD, including the membership to the NTWG and the four (4) CWGs through the issuance of a Special Order (SO);
- 5. Approve/ratify policy instruments and PAPs pertaining to the operation of the NCI-SRD; and
- Allocate and approve resources to carry out the PAPs of the NCI-SRD, including third party impact assessment of the convergence areas.

The NSC shall be composed of the following or their duly designated permanent alternates with a rank of an Undersecretary, whose acts shall be considered as the acts of their principals:

Lead Convenor

: DA Secretary

Co-Convenors

: DAR Secretary

DENR Secretary
DILG Secretary

Secretariat

: NFP

The NSC shall meet on a quarterly basis and hold special meetings, as deemed necessary. Meetings shall be conducted on rotation basis among the NCI-SRD agencies. In the absence of the Lead Convenor and/or Co-Convenors of the host agency, the present principal members shall decide among themselves who shall be the Chairperson of the meeting.

7.1.2 National Focal Person (NFP) and Deputy National Focal Person (DNFP)

The NFP and DNFP (as the designated permanent alternate representative of the NFP) shall spearhead the NTWG and oversee and supervise the NCI-SRD operations. They shall act as the Chairperson of the NTWG and shall convene the members of the NTWG to discuss updates/status of NCI-SRD PAPs before the conduct of the NSC meetings. The NFP and DNFP shall directly report to the NSC. The NFP shall directly supervise the National Secretariat and shall recommend to the Lead Convenor the designation of a Head National Secretariat and designate members of the National Secretariat as coordinators of the four (4) CWGs.

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7.1.3 National Technical Working Group (NTWG)

The NTWG shall serve as the advisory and recommendatory body of the NSC on matters related to the implementation of the NCI-SRD. The NTWG shall have the following functions:

- Conduct final technical review and endorse all NCI-SRD relevant documents for the approval of the NSC;
- 2. Recommend to the NSC relevant policies and guidelines, PAPs and their corresponding budget relative to the implementation of the NCI-SRD;
- 3. Mobilize concerned personnel to actively participate in various NCI-SRD PAPs:
- 4. Facilitate the formation of NCI-SRD committees/sub-working groups as the need arises, together with other relevant stakeholders;
- Monitor, evaluate and report on the progress of implementation of NCI-SRD PAPs;
- Consult with other concerned national government agencies (NGAs), stakeholders, and partner agencies on policies and PAPs related to sustainable rural development; and
- 7. Perform other functions as the NSC may direct.

The NTWG shall be composed of the following or their designated permanent alternate representative/s:

Chairperson

: NFP or DNFP

Core Members

: Chairperson, PA CWG

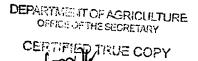
Chairperson, AFFECBD CWG

Chairperson, CD CWG Chairperson, KM CWG

Secretariat

: National Secretariat

The NTWG shall meet on a quarterly basis prior to the meetings of the NSC and hold special meetings, as deemed necessary. Meetings shall be done on rotation basis among the NCI-SRD agencies. In the absence of the NFP or the DNFP, the present principal members shall decide among themselves who shall be the Chairperson of the meeting.



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7.1.4 National Secretariat

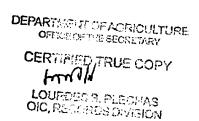
The National Secretariat shall serve as the main coordinating body of the NCI-SRD. It shall provide technical and administrative support to the NTWG and NSC. It shall report directly to the NFP as Chairperson of the NTWG. The National Secretariat members shall come from the national offices of the DA, DAR, DENR, and DILG. The National Secretariat members shall be assigned and its office shall be established at the DA. National Secretariat satellite offices shall be established at the DAR, DENR and DILG, composed of staff from the office of the duly designated permanent alternate Undersecretaries of the NSC.

The National Secretariat shall have the following functions:

- Coordinate and facilitate the conduct of the NSC and NTWG meetings, workshops, writeshops, seminars, for a and consultations;
- Document, prepare, and disseminate the minutes/proceedings/reports of the NSC and NTWG meetings and activities;
- Coordinate and facilitate the appropriate actions taken on agreements reached during the NSC and NTWG meetings and activities;
- 4. Submit monitoring/progress reports to the NSC, NTWG, and other oversight bodies;
- 5. Provide administrative support to the NSC and NTWG;
- Coordinate and facilitate the activities conducted by the four
 (4) CWGs to ensure that the timelines for the activities are strictly followed;
- 7. Coordinate with the RCI TWGs and its Secretariat on the status of PAPs in relation to the NCI-SRD;
- 8. Prepare and consolidate operational budget of the NSC, NTWG, CWGs, and the NCI-SRD Secretariat; and
- 9. Perform other functions as the NSC and NTWG may direct.

7.1.5 Component Working Groups (CWGs)

 The planning, budgeting, implementation, and monitoring and evaluation of the NCI-SRD shall be done through the four (4) CWGs. These four (4) CWGs are: Policy and Advocacy (PA); Agriculture, Forestry, and Fisheries (AFF)-Enterprise Cluster and Business Development (AFFECBD), Capacity



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Development (CD) and Knowledge Management (KM). They shall serve as the horizontal or cross-cutting components on issues related to all ecosystems using the ridge-to-reef approach.

- Each CWG shall have a Chairperson who shall serve on rotation basis among the DA-DAR-DENR-DILG for a term of three (3) years. The Chairperson shall be designated by the respective Secretaries. The CWG Secretariat shall be designated by the Chairperson and shall come from the office they represent.
- 3. Membership of the CWGs shall include offices from the DA, DAR, DENR, and DILG, including graduates of the NCI-SRD Master in Public Management major in Rural Development (MPM-RD) Scholarship Program graduates whose work and responsibilities are related to the four (4) components. CWG members shall be designated by the respective Secretaries. Other NGAs and relevant stakeholders which may contribute to the initiatives of the respective CWGs shall be invited, as deemed necessary.
- 4. The following are the functions and composition of each CWG:
- a. PA CWG shall be responsible for the review and audit of conflicting and overlapping policies that hamper the implementation of PAPs of the NCI-SRD agencies across all ecosystems. It shall recommend and draft relevant policy instruments that shall harmonize or improve existing policies. It shall also identify issues that require legislation and coordinate with the appropriate offices, agencies, and stakeholder groups involved in advocating for the respective legislation.

The PA CWG shall be composed of the following or their designated permanent alternate representative/s:

Core Members

: DA

- 1. Director, Planning and Monitoring Service (PMS)
- 2. Director, Policy Research Service (PRS)
- 3. Director, Bureau of Fisheries and Aquatic Resources (BFAR)

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- Director, Bureau of Soils and Water Management (BSWM)
- 5. Executive Director, Philippine Council for Agriculture and Fishery (PCAF)

DAR

- 6. Director, Policy and Research Service (PRS)
- 7. Director, Bureau of Agrarian Legal Assistance (BALA)

DENR

- 8. Director, Policy and Planning Service (PPS)
- 9. Director, Biodiversity Management Bureau (BMB)
- 10. Director, Ecosystems Research and Development Bureau (ERDB)
- 11. Director, Forest Management Bureau (FMB)
- 12. Director, Land Management Bureau (LMB)

DILG

13. Director, Bureau of Local Government Development (BLGD)

Secretariat

Designated by the PA CWG Chairperson

Coordinator

: National Secretariat member

 AFFECBD CWG - shall be responsible for the development, implementation, monitoring and evaluation of AFFenterprise cluster and business within the convergence areas, which shall cover all ecosystems.

The AFFECBD CWG shall be composed of the following or their designated permanent alternate representative/s:

Core Members

: *DA*

- 1. Director, Agribusiness Marketing and Assistance Service (AMAS)
- 2. Director, Field Operations Service (FOS)
- 3. Director, Project Development Service (PDS)

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- 4. Director, Bureau of Agricultural Research (BAR)
- 5. Director, BFAR

DAR

- 6. Director, Project Management Service (PMS)
- 7. Director, Bureau of Agrarian Reform Beneficiaries Development (BARBD)

DENR

- 8. Director, Foreign-Assisted and Special Projects Service (FASPS)
- 9. Director, BMB
- 10. Director, ERDB
- 11. Director, FMB
- 12. Director, LMB

DILG

13. Director, BLGD

Secretariat

: Designated by the AFFECBD CWG

Chairperson

Coordinator

: National Secretariat member

c. CD CWG – shall be responsible for the development, implementation, and monitoring and evaluation of the NCI-SRD national capacity development plan, which shall take into consideration all ecosystems. It shall organize and manage all NCI-SRD learning and development (L&D) activities, including regular orientation and assessment activities.

The CD CWG shall be composed of the following or their designated permanent alternate representative/s:

Core Members

- : DA
 - 1. Director, BAR
 - 2. Director, Agriculture Training Institute (ATI)

DAR

- 3. Director, BARBD
- 4. Director, Agrarian Reform Capacity Development Service (ARCDS)

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- 5. Director, FASPS
- 6. Director, Human Resource
 Development Service (HRDS)
- 7. Director, BMB
- 8. Director, ERDB
- 9. Director, FMB
- 10. Director, LMB

DILG

- 11. Director, BLGD
- 12. Executive Director, Local Government Academy (LGA)

Secretariat

: Designated by the CD CWG Chairperson

Coordinator

: National Secretariat member

d. KM CWG - shall be responsible for the development and management of an information system for the NCI-SRD. The three (3) areas of concern of the KM are the following: ICT, RBME and IECM Materials.

The KM CWG shall be composed of the following or their designated permanent alternate representative/s:

Core Members

: DA

- 1. Division Chief, Agriculture and Fisheries Information Division (AFID)
- 2. Director, FOS
- 3. Director, Information and Communication Technology Service (ICTS)
- 4. Director, PMS
- 5. Director, BFAR
- 6. Director, ATI
- 7. Administrator, National Fisheries
 Research and Development Institute
 (NFRDI)

DAR

- 8. Director, Management Information Systems Service (MISS)
- Director, Public Assistance and Media Relations Service (PAMRS)

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- 10. Director, Knowledge and Information Systems Service (KISS)
- 11. Director, PPS
- 12. Director, Strategic Communication and Information Service (SCIS)
- 13. Director, BMB
- 14. Director, ERDB
- 15. Director, FMB
- 16. Director, LMB

DILG

- 17. Executive Director, LGA
- 18. Director, BLGD

Secretariat Coordinator : Designated by the KM CWG Chairperson

National Secretariat member

7.1.6 Other Partner Agencies and Institutions

The NTWG and CWGs shall coordinate with other partner agencies and institutions to ensure complementation and alignment of NCI-SRD interventions with that of other related agencies, offices, and institutions. They shall likewise work with NGOs, coalition groups, farmer and fisherfolk associations and groups, SUCs, and private sector/business groups.

7.2 Regional, Provincial, and Municipal/City Levels

7.2.1 Regional Convergence Initiative TWG (RCI TWG)

The RCI TWG shall act as the regional arm of the NTWG. It shall have the following functions:

- 1. Provide technical assistance in the development of the CADPs proposed by the LGUs;
- 2. Conduct technical review and validation of the CADPs proposed by the LGUs and endorse CADPs to the NTWG;
- Supervise the implementation of the PAPs under the approved 3. CADP and the NCI-SRD, as may be applicable;
- Monitor and evaluate the progress and result of implementation 4. of the CADPs and other PAPs of the NCI-SRD within the region;
- 5. Facilitate the resolution of issues and concerns relating to the NCI-SRD operations in the region;

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6. Elevate issues on conflicting and overlapping policies relating to the NCI-SRD to the NTWG;

 Prepare project proposals to support proposed funding of NCI-SRD-related PAPs; and

8. Allocate and approve resources to fund for the NCI-SRD PAPs.

The RCI TWG shall be composed of the following or their designated permanent alternate representative/s:

Chairperson

: Regional Executive Director, DA RFO

Core Members : Regional Director, DAR

Regional Executive Director, DENR

Regional Director, DILG

Designated Regional Focal Person

The DA RFO Regional Executive Director shall designate the Regional Focal Person, who shall also come from the DA RFO. The membership of the RCI TWG shall be expanded to include graduates of the NCI-SRD MPM-RD Scholarship Program and representatives from the provincial LGUs and other relevant stakeholders, as deemed necessary.

7.2.2 RCI TWG Secretariat

The RCI TWG Secretariat shall act as the regional arm of the National Secretariat. It shall have the following functions:

- Coordinate and facilitate the conduct of the RCI TWG meetings, workshops, writeshops, seminars, for a and consultations;
- 2. Document, prepare, and disseminate the highlights the minutes/proceedings/reports of the RCI TWG meetings and activities;
- Coordinate and facilitate the implementation of appropriate actions taken on agreements reached during the RCI TWG meetings and activities;
- 4. Directly liaise with the National Secretariat on the status of PAPs in relation to the NCI-SRD;
- 5. Submit and report accomplishment, monitoring/progress reports of the convergence areas and NCI-SRD-related PAPs to the National Secretariat;
- 6. Prepare annual workplan and budget of the RCI TWG;
- 7. Provide administrative support to the RCI TWG;

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Attend and participate in the NCI-SRD activities at the 8. national, regional, and local levels; and

9. Perform other functions as the RCI TWG may direct.

The RCI TWG Secretariat shall be composed of the following or their designated permanent alternate representative/s:

Core Members

Head Secretariat : Designated Regional Focal Person : DA, Field Operations Division (FOD)/

> Planning, Monitoring and Evaluation Division (PMED)/ Regional Agriculture and Fisheries Information Section (RAFIS)/Agribusiness and Marketing Assistance Division (AMAD) DAR, Regional Program Beneficiaries Development Division (RPBDD)/ Field Operations Division (FOD)/Agrarian Reform Beneficiaries Development Sustainability and Program Division (ARBDSPD) DENR, Office of the Assistant Regional

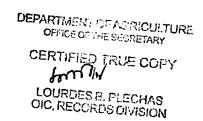
Director for Technical Services/Planning and Management Division (PMD)

DILG, Local Capacity Development Division (LCDD)/ Local Government Monitoring and Evaluation Division LGMED)/Municipal/City **Local Government Operations Officer** (M/CLGOOs)

7.2.3 Provincial Convergence Initiative (PCI) TWG

The PCI TWG shall be created when a convergence area covering at least two (2) municipalities within one (1) province is proposed by the PLGU. It shall have the following functions:

- Propose and/or endorse convergence area/s to the RCI TWG 1. based on the selection criteria stipulated in Section 6;
- Develop, formulate, write, package, and finalize the CADP of 2. the approved convergence area/s, in collaboration with the MCI/CCI TWG and RCI TWG;
- Implement PAPs under the approved CADP and the NCI-SRD 3. within the province, in collaboration with the RCI TWG;



4. Monitor and evaluate the progress and result of implementation of the CADPs and other PAPs of the NCI-SRD within the province; and

7. Update the CADP from time to time, as may be necessary.

The PCI TWG shall be composed of the following or their designated permanent alternate representative/s:

Chairperson : Prov

: Provincial Governor

Core Members

: DA, Agriculture Provincial Coordinating

Officer (APCO)

DAR, Provincial Agrarian Reform Program

Officer (PARPO)

DENR, Provincial Environment and Natural

Resources Officer (PENRO)
DILG, Provincial Director

LGU, Provincial Agriculturist (PA)

LGU, Provincial Planning and Development

Coordinator (PPDC)

LGU, Provincial Environment Management

Officer (PEMO)

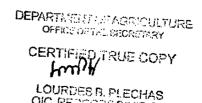
LGU, Municipal Agriculture Officer (MAO)

The Provincial Governor shall designate a Secretariat of the PCI TWG. In case there is an existing LGU alliance in the area, the head of the LGU alliance shall be a member of the PCI TWG. The Provincial Governor shall also designate other members of the PCI TWG from other relevant government agencies as well as NGOs, CSOs, and private sector, as may be necessary.

7.2.4 Municipal Convergence Initiative/City Convergence Initiative (MCI/CCI) TWG

The MCI/CCI TWG shall be created when a convergence area within a municipality/city is proposed by the M/CLGU. It shall have the following functions:

- Propose and/or endorse convergence area/s to the RCI TWG
 based on the selection criteria stipulated in Section 6;
- 2. Develop the CADP of the approved convergence area/s, in collaboration with the RCI TWG;



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- Implement PAPs under the approved CADP and the NCI-SRD within the municipality/city, in collaboration with the RCI TWG;
- Monitor, evaluate the progress and result of implementation of the CADPs and other PAPs of the NCI-SRD within the municipality/city;
- 5. Update the CADP from time to time as may be necessary.

The MCI/CCI TWG shall be composed of the following or their designated permanent alternate representative/s:

Chairperson

: Municipal/City Mayor

Core Members

: DA, Designated DA RFO representative/APCO

DAR, Designated PARPO/MARPO

representative

DENR, Community Environment and Natural

Resources Officer (CENRO)

DILG, Municipal DILG Officer/ Municipal/ City Local Government Operations Officer

(M/CLGOO)

LGU, Municipal Agriculturist (MA)/ Municipal Agricultural Officer (MAO) LGU, Municipal/City Planning and Development Coordinator (M/CPDC) LGU, Municipal/City Environment and Natural Resources Officer (M/CENRO), as

may be applicable

LGU, Provincial Agriculturist (PA)

The Municipal/City Mayor shall designate a Secretariat of the MCI/CCI TWG. They shall also designate other members of the MCI/CCI TWG from other relevant government agencies as well as NGOs, CSOs, and private sector, as may be necessary.

SECTION 8. Funding

The DA, DAR, DENR, and DILG shall allot a portion of their annual budget, mainstreamed or tagged, to support the NCI-SRD operations, the TWGs and their Secretariats at the national, regional, and local levels. Other sources of funds such as grants, donations, and other forms of assistance from donor agencies, other government agencies, and local and international business community/ private

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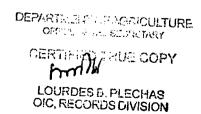
sectors, shall be tapped to support the PAPs of the Convergence Initiative. Costsharing and/or counter-parting scheme among NGAs, LGUs, NGOs, private sector, and other institutions shall be encouraged to support the Convergence Initiative.

SECTION 9. Results-Based Monitoring and Evaluation (RBME)

A RBME system based on indicators of performance aligned with the PDP Results Matrix shall be developed, implemented and managed. A regular reporting system shall be installed in an open and transparent manner. The RBME report shall also contain gaps and issues, learnings on convergence as an approach to sustainable rural development, and proposed policy recommendations.

SECTION 10. Repealing Clause. This revised JAO supersedes DA-DAR-DENR-DILG JAO No. 01 Series of 2015 and revokes all other issuances inconsistent herewith.

SECTION 11. Effectivity. This revised JAO takes effect fifteen (15) days after its publication in one (1) newspaper of general circulation and upon registration with the Office of National Administrative Register (ONAR).



WILLIAM D. DAR

Acting Secretary

Department of Agriculture (DA)

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Secretary '

Department of Environment and Natural Resources (DENR)

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DA-DAR-DENR-DILG JOINT MEMORANDUM ORDER (JMO) NO. Series of 2022

SUBJECT:

INSTITUTIONALIZATION OF AGRO-BIODIVERSITY (ABD) MANAGEMENT WITHIN THE NATIONAL CONVERGENCE INITIATIVE FOR SUSTAINABLE RURAL DEVELOPMENT (NCI-SRD) FRAMEWORK

Pursuant to DA-DAR-DENR-DILG Joint Administrative Order No. 01 Series of 2020 (Revised DA-DAR-DENR-DILG Joint Administrative Order No. 01 Series of 2015 (Strengthening the Implementation Framework for the DA-DAR-DENR-DILG National Convergence Initiative for Sustainable Rural Development)) and Chapter 8 (Expanding Economic Opportunities in Agriculture, Forestry, and Fisheries and Ensuring Food Security) of the Updated Philippine Development Plan (PDP) 2017-2022 to complement strategic efforts with environmental and governance strategies through the national convergence initiatives using the ridge-to-reef approach and the need for a climate and disaster vulnerability and risk assessment to deal with the impacts of natural hazards, the Departments of Agriculture, Agrarian Reform, Environment and Natural Resources, and Interior and Local Government issue this Joint Memorandum Order (JMO) on the institutionalization of dynamic conservation and sustainable utilization agrobiodiversity within the framework of the National Convergence Initiative for Sustainable Rural Development.

SECTION 1. Objective

The following objectives must be achieved:

- 1. To institutionalize the dynamic conservation and sustainable use of ABD in convergence areas to achieve the overall objective of sustainable rural development, climate resilience, and poverty reduction;
- 2. To develop a proposed revision and updated prioritization criteria on selecting convergence areas that shall aid in the preparation of the Convergence Area Development Plan (CADP); and
- 3. To institutionalize agro-biodiversity management in the convergence areas and enhance adaptive capacities of the communities through the National Technical Working Group (NTWG) and the Regional Convergence Initiative Technical Working Group (RCI TWG).

SECTION 2. Scope and Coverage

This Order shall include the following:

Major programs of the NCI-SRD agencies involving agrobiodiversity, but not 2.1 limited to:



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donor agencies, and international and local non-governmental organizations (NGOs), people's organizations (POs), IPs, and other relevant stakeholders.

- b. The importance of the LGUs as the integrating and converging force for sustainable rural development at the local level shall be strengthened.
- c. The participatory approach shall be adopted in all development phases: planning and budgeting, implementation, monitoring and evaluation, reporting, and knowledge sharing.
- d. Complementation of resources and expertise of the concerned agencies and LGUs shall be considered in implementing the Convergence Initiative.
- e. Private sector investment shall be encouraged in convergence areas.

SECTION 4. Definition of Terms

For purposes of this Order, the following terms shall be defined:

- 4.1 Agrobiodiversity refers to all components of biological diversity of relevance to food and agriculture, and all components of biological diversity that constitute the agroecosystems: the variety and variability of animals, plants, and microorganisms, at the genetic, species, and ecosystem levels, which are necessary to sustain critical functions of the agroecosystem, its structure, and processes;
- 4.2 Climate Resilience refers to the adaptive capacity for a socio-ecological system to: (1) absorb stresses and maintain function in the face of external stresses imposed upon it by climate change and (2) adapt, reorganize, and evolve into more desirable configurations that improve the sustainability of the system, leaving it better prepared for future climate change impacts;
- 4.3 Dynamic conservation emphasizes a balance among conservation, adaptation, and socio-economic development. With this, the conservation of ABD is taken into the holistic, integrated approach of sustainable management of the agricultural resources, including looking into market incentives, branding and labeling of products and services, cultural promotion, and tourism-related activities;
- 4.4 Food security refers to the policy objective, plan, and strategy of meeting the food requirements of the present and future generations of Filipinos in substantial quantity, ensuring the accessibility, availability, and affordability of nutritious food for all, either through local production or importation, or both based on the country's existing and potential resources endowment and related production advantages and consistent with the overall national development objectives and policies;



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- 4.5 Indigenous knowledge systems and traditional practices (IKSTP) refers to systems, institutions, mechanisms, and technologies comprising a unique body of knowledge evolved through time that embody patterns of relationships between and among peoples and between peoples, their lands and resource environment, including such spheres of relationships which may include social, political, cultural, economic, religious spheres, and which are the direct outcome of the indigenous peoples, responses to specific needs consisting of adaptive mechanisms which have allowed indigenous peoples to survive and thrive within their given socio-cultural and biophysical conditions;
- 4.6 Ridge-to-reef approach refers to the holistic, collaborative, multiple-use, and sustainable management of all resources within the watershed.

Section 5. Institutional Arrangements and Terms of Reference

The implementation of this Order shall be led by the NTWG at the national level and the RCI TWG at the regional level. Moreover, the existing NCI-SRD coordinating mechanisms shall be adopted. The NCI-SRD shall also encourage the participation of other national line agencies such as the National Commission on Indigenous Peoples (NCIP) in the implementation of this JMO.

The following shall be the terms of reference of the DA-DAR-DENR-DILG NCI-SRD and the offices and agencies within the DA-DAR-DENR-DILG:

5.1 NCI-SRD

- 1. The NCI-SRD NTWG, composed of the National Focal Person (NFP) as Chairperson and the four (4) Component Working Groups (CWGs) Chairpersons as members, shall oversee the implementation of this Order.
- 2. The NCI-SRD National Secretariat shall provide technical and administrative support to the NTWG in the performance of its tasks.
- 3. The NCI-SRD RCI TWGs, composed of the DA Regional Executive Director, and the DAR, DENR, DILG Regional Directors, shall implement and monitor the coordination mechanism in partnership with the LGUs in the convergence areas. It shall regularly report to the NTWG the status of implementation of this Order.
- 4. The four components of NCI-SRD implementation, namely: policy and advocacy (PA); agriculture, forestry and fisheries enterprise cluster and business development (AFFECBD); capacity development (CD); and knowledge management (KM), shall address the concerns and challenges of



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promoting the dynamic conservation and sustainable utilization of ABD within the convergence sites.

- The PA CWG shall be responsible for reviewing and auditing conflicting and 5. overlapping policies that hamper the implementation of agrobiodiversityrelated PAPs of the NCI-SRD agencies. In addition, it shall recommend and draft relevant policy instruments that shall harmonize or improve existing policies on agrobiodiversity.
- 6. The AFFECBD CWG shall be responsible for developing, implementing, monitoring, and evaluating the AFF-enterprise cluster and business within traditional agroecosystems in the convergence areas.
- 7. The CD CWG shall be responsible for developing, implementing, monitoring, and evaluating the NCI-SRD national capacity development plan, which shall consider all ecosystems. It shall organize and manage all NCI-SRD learning and development (L&D) activities, including capacity building to Indigenous Peoples, farmers, and government personnel to support the enhancement of traditional farming practices and continuous capacity building of farmer producers to help sustain their enterprises.
- KM CWG shall be responsible for developing and managing information, 8. education, and communication (IEC) materials on agrobiodiversity.
- 9. The RCI TWG Secretariat shall provide technical and administrative support to the RCI TWG in the performance of its tasks.
- The NFP shall report to the National Steering Committee (NSC) on the status 10. of the implementation of this Order.

5.2 DA

- The Bureau of Soils and Water Management (BSWM) shall provide technical 1. and support services through research and development on suitability, preservation, and conservation of soil and water for dynamic conservation and sustainable use of ABD.
- 2. The Bureau of Agricultural Research (BAR) shall ensure the integration of research and development functions and enhance the participation of farmers, fisherfolk, the industry, and the private sector to develop and promote the dynamic conservation and sustainable use of ABD.
- The Bureau of Plant Industry (BPI) shall serve and support the Philippine 3. plant industry by ensuring the availability of quality seeds, plant food safety, the development of crop farming technologies, and safeguarding the plant industry.



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- 4. The Bureau of Animal Industry (BAI) shall coordinate and monitor the activities and projects relating to livestock and allied industries and recommend standards for quality in the manufacture, importation, labelling, advertising, distribution and sale of the same industries.
- 5. The Climate Resilience Agriculture Office (CRAO) shall provide Climate Risk Vulnerability Assessment (CRVA) Maps as a reference for the identification of site-specific climate-responsive indicators and outcomes.
- 6. The Philippine Mechanization and Postharvest Development (PHilMech) shall generate, extend and commercialize appropriate and problem-oriented agriculture and fishery postharvest and mechanization technologies, practices and systems.
- 7. The Philippine Rice Research Institute (PhilRice), through the Genetic Resources Division, shall lead the effort on conservation and management of rice genetic resources in the country.
- 8. The Bureau of Fisheries and Aquatic Resources (BFAR) shall implement its mandate to ensure protection, conservation, management, development, and good fisheries and aquatic resources utilization.
- 9. The Regional Field Offices (RFOs) shall provide technical and support services, agricultural machinery, equipment, facilities and infrastructure program, and regulatory support at the regional level.

5.3 DAR

- The Policy and Research Service (PRS) shall, in coordination with other DAR units, lead in the integration of agrobiodiversity concepts in relevant DAR policies and the conduct of agrobiodiversity-related research.
- 2. The Bureau of Agrarian Reform Beneficiaries Development (BARBD) shall implement DAR programs and projects to increase productivity and resilience among agrarian reform beneficiaries (ARBs) in the convergence areas. Interventions include the provision of governance/capacity building, financial support, sustaining livelihoods and enterprise development.
- 3. The Foreign Assisted and Special Projects Office (FASPO) shall provide Official Development Assistance (ODA) in identified critical areas covered by CARP.
- 4. The Project Management Service (PMS) shall provide technical assistance on the project development, management and resource mobilization on CARP covered areas. It shall also provide assistance in the monitoring and evaluation of the implementation of both foreign and locally funded projects.



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- DA Bureau of Soils and Water Management (BSWM), Bureau of Animal Industry (BAI), Bureau of Agricultural Research (BAR), Bureau of Fisheries and Aquatic Resources (BFAR), Bureau of Plant Industry (BPI), Climate Resilient Agriculture Office (CRAO), Philippine Mechanization and Postharvest Development (PHilMech) and Philippine Rice Research Institute (PhilRice).
- 2. DAR Policy and Research Service (PRS), Bureau of Agrarian Reform Beneficiaries Development (BARBD), Foreign Assisted and Special Projects Office (FASPO), Planning Service (PS), Project Management Service (PMS), and Support Services Office (SSO).
- 3. DENR Biodiversity Management Bureau (BMB), Climate Change Service (CCS), Ecosystems Research and Development Bureau (ERDB), and Forest Management Bureau (FMB)
- 4. DILG Bureau of Local Government and Development (BLGD)

SECTION 3. Guiding Principles

This Order subscribes to the NCI-SRD guiding principles, as specified in Section 2 of the revised DA-DAR-DENR-DILG JAO No. 1 Series of 2020. These guiding principles are as follows:

- 3.1. Convergence Initiative is a response to the fragmented delivery of rural development services towards improved governance and optimized use of resources.
- 3.2. Convergence Initiative is a strategy to strengthen the Local Government Units (LGUs) to grow sustainable local economies.
- 3.3 The ridge-to-reef approach shall be the tool for the interventions in the convergence areas.
- 3.4 In response to the government's poverty reduction goal, the Convergence Initiative shall have a defined constituency. Interventions shall focus on smallholder farmers (agrarian reform beneficiaries or ARBs and non-ARBs), small fishermen, upland dwellers, and Indigenous Peoples (IPs).
- 3.5 The implementation of the Convergence Initiative shall be guided by the following:
 - a. The Convergence Initiative shall be treated as a complementation strategy between and among the NCI-SRD agencies (DA-DAR-DENR-DILG). It shall encourage the assistance of other national line agencies, state universities and colleges (SUCs), government-owned and controlled corporations (GOCCs),



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- The Planning Service (PS) shall ensure that programs are integrated into the 5. agency-level plans, and the same is monitored and evaluated regularly.
- The Support Services Office (SSO) shall provide policy and program 6. development on support services relating to dynamic conservation and sustainable use of ABD.

5.4 DENR

- The Biodiversity Management Bureau (BMB) shall ensure the alignment of 1. policies, guidelines, and rules for the conservation of biodiversity in the implementation of Agro-biodiversity within the NCI Framework. It shall also provide technical and support services in policy formulation and monitoring, evaluation, and reporting. It shall also ensure support from DENR Regional Field Offices in the implementation of Agro-biodiversity within the NCI Framework and promote that biodiversity conservation is explicitly mainstreamed in the implementation of activities in line with PBSAP and are aligned with BMB's regular activities in the field.
- 2. The Climate Change Service (CCS) shall assist in capacity-building activities related to mainstreaming climate change adaptation/ecosystem-based adaptation in plans and programs.
- The Forest Management Bureau (FMB) shall promote the ridge-to-reef 3. approach in all its projects and programs to sustainably protect, conserve, and manage forests and forest resources. Furthermore, it shall provide technical guidance and support to the dynamic conservation and sustainable utilization of ABD through policy formulation and program development.
- The Ecosystems Research and Development Bureau (ERDB) shall assist in 4. providing science-based environment and natural resources information and the extension of technical assistance in the formulation and implementation of agro-biodiversity programs, activities, and projects of NCI-SRD.

5.5 DILG

1. The Bureau of Local Government Development (BLGD) shall provide policy support to the Local Government Units (LGUs) in relation to programs on dynamic conservation and sustainable use of ABD.

SECTION 6. Additional Criteria for Selection of Convergence Areas

All nominated convergence areas shall be evaluated and selected using the must and prioritization criteria stipulated in the CADP Manual of Operations. Moreover, the



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proposed additional criteria mentioned below, which are unique features of agrobiodiversity, shall also be considered additional prioritization criteria in the revision of the CADP Manual of Operations.

- Presence of traditional AFF ecosystems and local/indigenous knowledge systems. The
 convergence area should have conventional AFF ecosystems with significant
 agrobiodiversity and indigenous knowledge systems and traditional practices
 (IKSTP). The conservation of agro-biodiversity and adoption of IKSTP shall
 contribute significantly to the goal of the convergence initiative to contribute to the
 sustainability of biodiversity and functioning of ecosystem services and increase in
 adaptive capacities and resilience of ecosystems.
- 2. Performs as Effective Buffer Zone of a Protected Area. The convergence area may be adjacent to a protected area (PA) and serves as a buffer zone (as defined under the National Integrated Protected Areas System Act or NIPAS Act) to provide an added layer of protection to the PAs. Protected areas are sentinels of genetic materials that are important for domestic use and agriculture. Therefore, communities within these buffer zones are the immediate beneficiaries of these provisioning services of the protected area. The convergence area may also be within the multiple-use zone (MUZ) of the protected area where tenured migrants can apply agro-biodiversity practices.
- 3. Vulnerability to Climate Risk. The area is vulnerable to the potential impacts of climate events or related harms (e.g. flood, drought, storm surges, sea level rise, coastal flooding and erosion) which affect the ecosystems, economy, societies, and the communities. Existing site-specific Climate Risk Vulnerability Assessment (CRVA) maps provided by DA CRAO can be used as a reference to assess the sustainability of biodiversity and ecosystem services in the proposed convergence areas.

SECTION 7. Monitoring and Evaluation

The monitoring and evaluation of the implementation of this JMO shall be done in accordance with the functions of the NTWG, four (4) CWGs, RCI TWGs, and other relevant DA-DAR-DENR-DILG offices under Section 5. Institutional Arrangements and Terms of Reference of this Order.

SECTION 8. Funding Mechanism



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All expenses relative to the implementation of this Order shall be charged against the concerned offices and agencies, subject to accounting and auditing rules and regulations. Cost-sharing and/or counter parting schemes among donor agencies, other government agencies, and local and international business community/private sector shall be encouraged to support the implementation of this Order.

SECTION 9. Issuance of Implementing Advisory

The DA, DAR, DENR, and DILG may issue subsequent implementing orders and advisories pursuant to this Order.

SECTION 10. Separability Clause

If for any reason, any part of a provision of this Order is declared invalid or unconstitutional, any part or provision not affected thereby shall remain in full force and effect.

SECTION 11. Repealing Clause

All previous NCI-SRD issuances inconsistent with the provisions of this Order are hereby repealed or amended accordingly.

SECTION 12. Effectivity

This Joint Memorandum Order shall take effect immediately and shall remain in force until revoked.



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APPROVED BY:



WILLIAM D. DAR of wow

Secretary

Department of Agriculture (DA)

DEPARTMENT OF AGRICULTURE

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Department of Agrarian Reform

BFC-OSEC-J.M.O

BERNIE F. CRUZ Acting Secretary 🔥

Department of Agrarian Reform (DAR)

Department of Environment and Natural Resources (DENR)

Department of the Interior and Local Government (DILG)

